

# Transfert radiatif aux interfaces

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**Lille, France, 23-25 mars 2015**

## ***A difficult task***

- Frequency variety
- Modes (passive / active)
- Environments (ocean, land, vegetation, soil, snow, and ice)
- Applications (surface characterization, lower- layer atmospheric sounding, research or operational...)

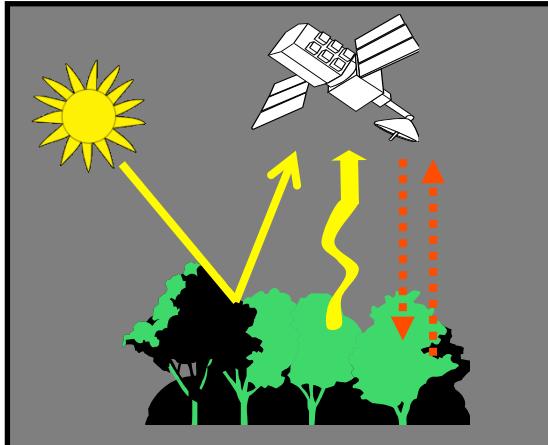
⇒ Will not be exhaustive... Just a flavor...

## ***Outline:***

- Visible and near-infrared (prepared by S. Jacquemoud)
- Infrared and microwaves

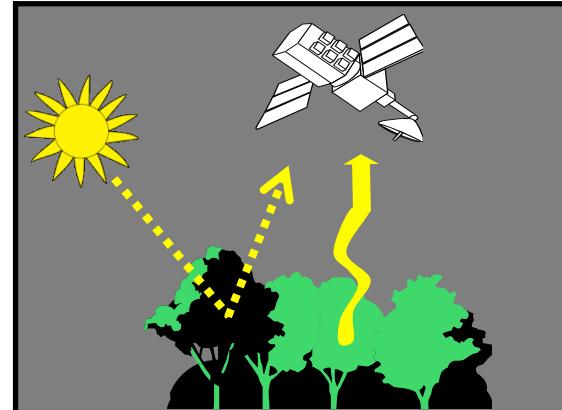
# Soil-vegetation remote sensing

UV-VIS-NIR-SWIR



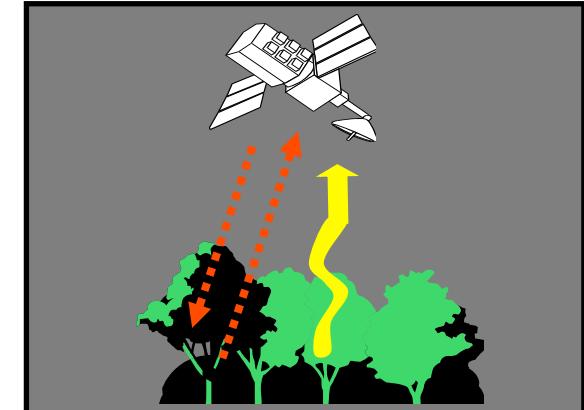
Reflectance  
Lidar intensity

MWIR-LWIR



Brightness temperature

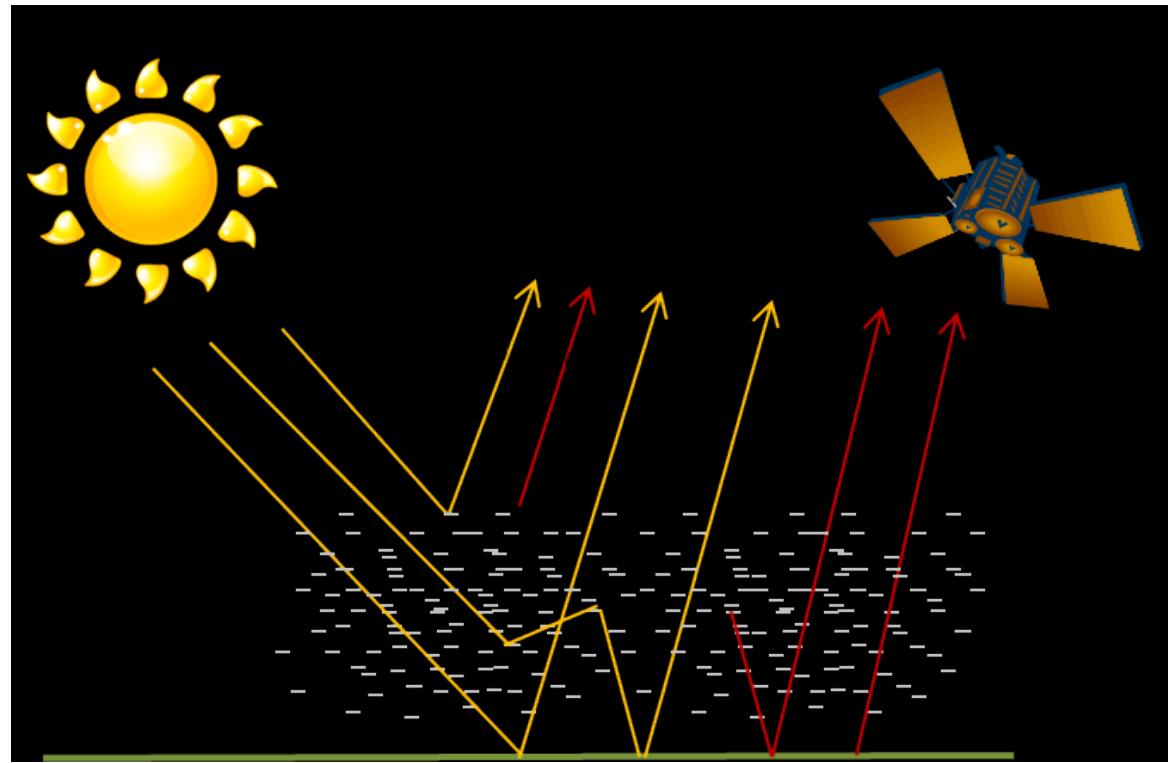
Microwaves



Brightness temperature  
Backscattering coef.

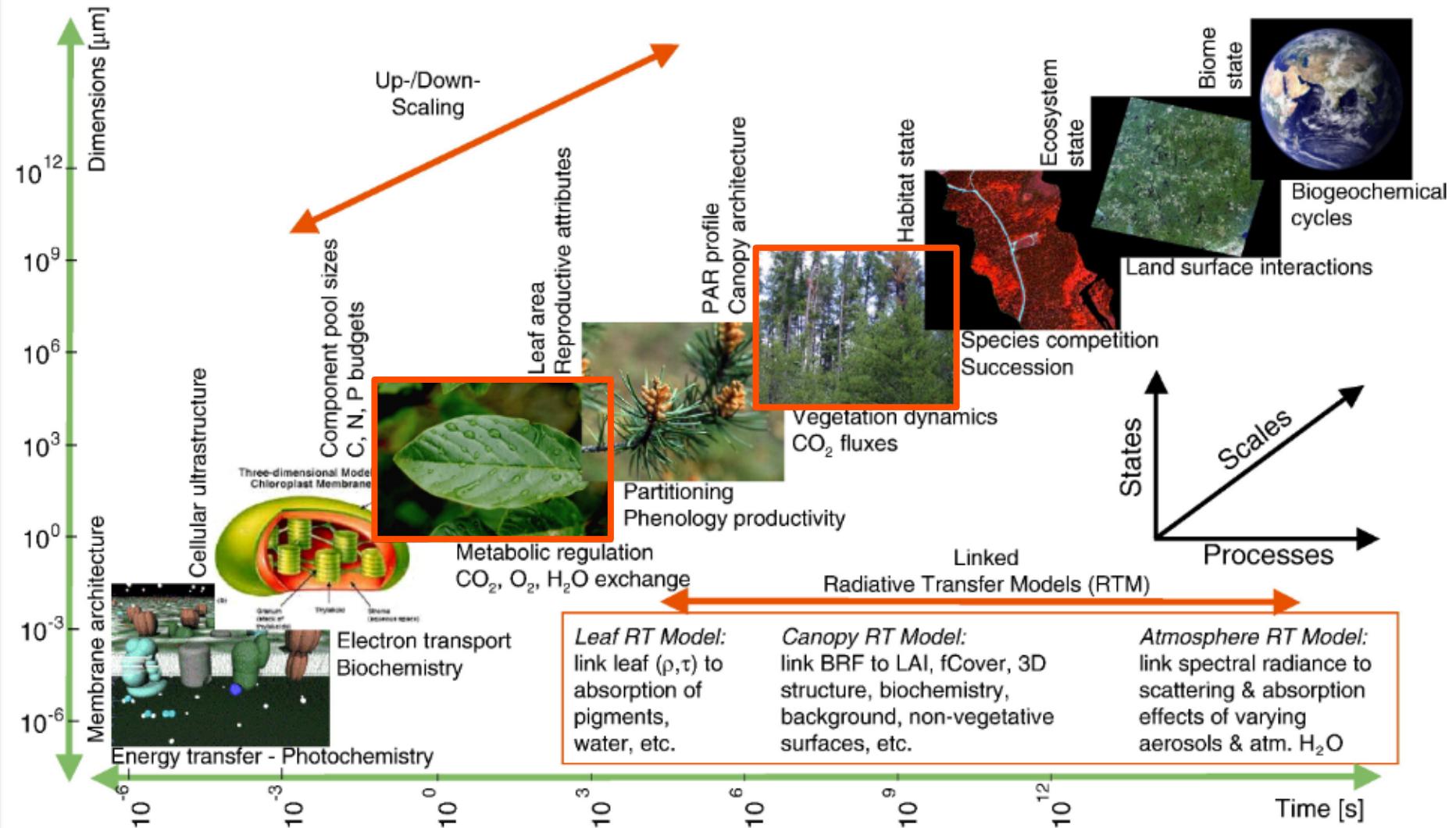
# Visible and Near-Infrared

# Surface-atmosphere coupling

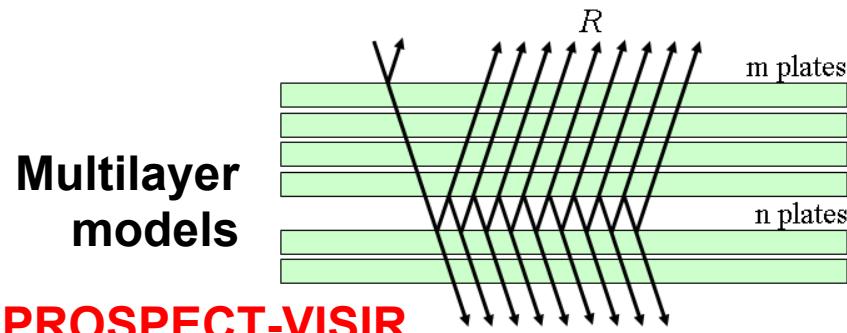


$$L_\lambda(\theta_v, \varphi_v) = \underbrace{\varepsilon_\lambda(\theta_v, \varphi_v) B_\lambda(T_s) \tau_{atm\uparrow, \lambda}(\theta_v, \varphi_v)}_{1) \text{ Thermal emission by the surface}} + \underbrace{L_{atm\uparrow, \lambda}(\theta_v, \varphi_v)}_{2) \text{ Atmospheric radiance}} \\ + \underbrace{\tau_{atm\uparrow, \lambda}(\theta_v, \varphi_v) \int_0^{2\pi} \int_0^{\pi/2} \rho_\lambda(\theta_i, \varphi_i, \theta_v, \varphi_v) L_{atm\downarrow, \lambda}(\theta_i, \varphi_i) \cos \theta_i \sin \theta_i d\theta_i d\varphi}_{3) \text{ Incident radiation reflected by the surface}}$$

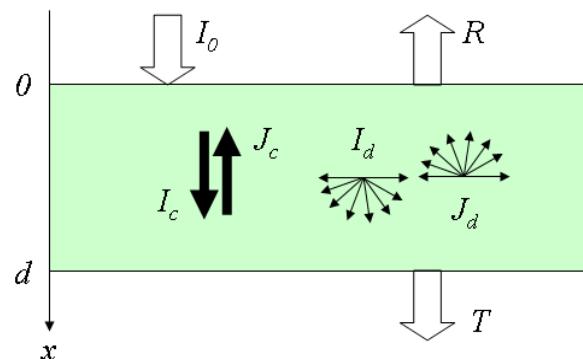
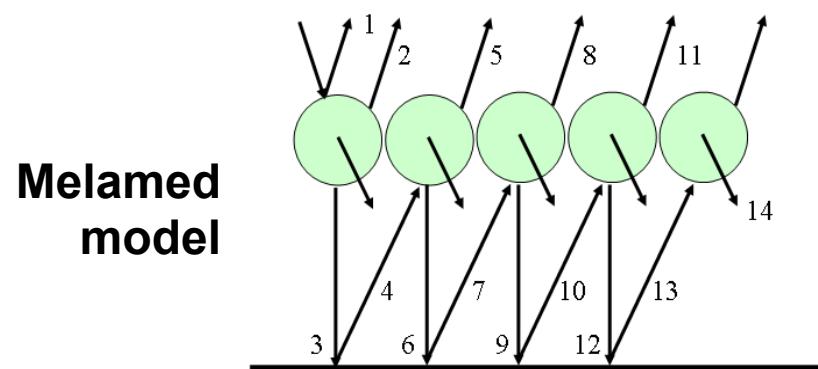
# Plant canopies: coupled multi-scale processes



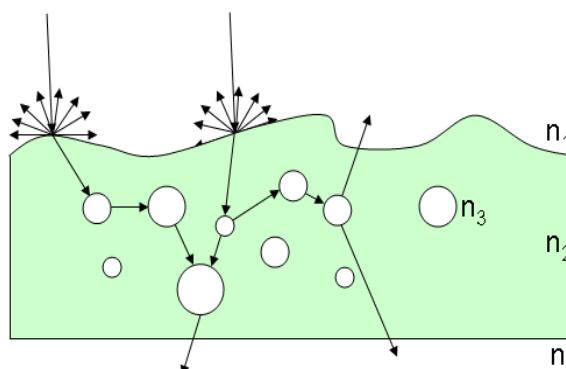
# Modeling leaf optical properties



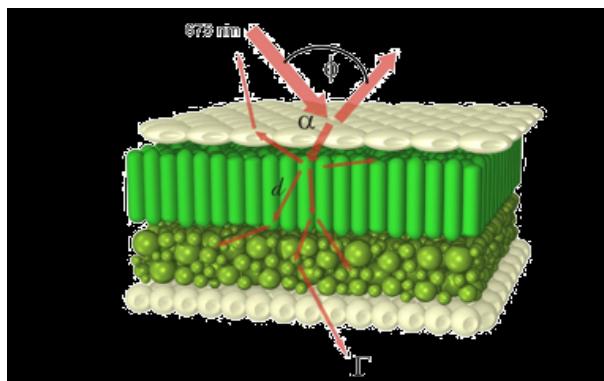
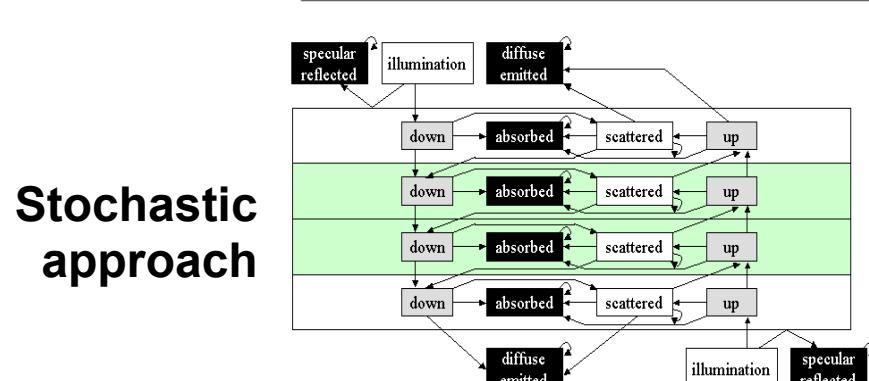
**PROSPECT-VISIR**



**Kubelka-Munk theory**



**Radiative transfer equation**

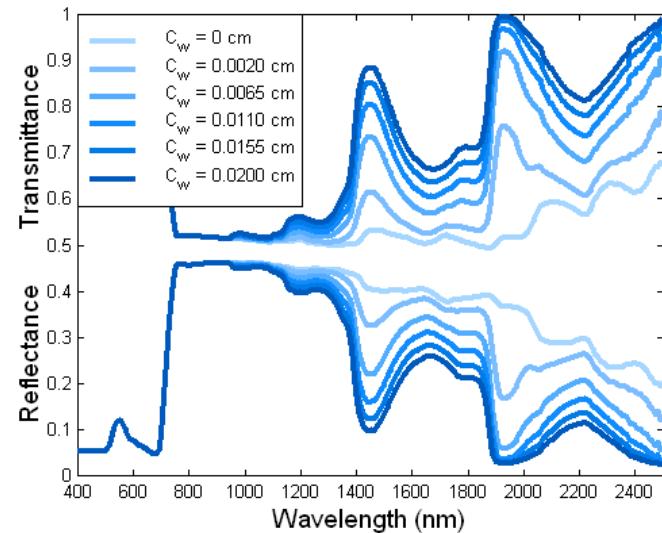


**Monte Carlo methods**

<http://opticleaf.ipgp.fr/>  
[http://www.photobiology.info/Jacq\\_Ustin.html](http://www.photobiology.info/Jacq_Ustin.html)

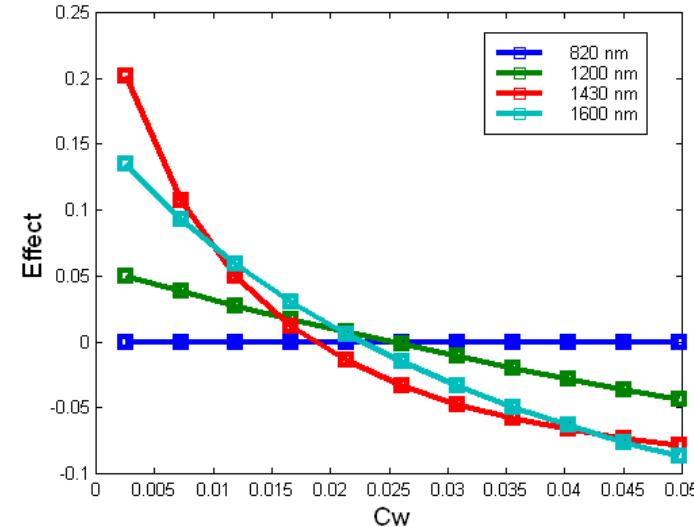
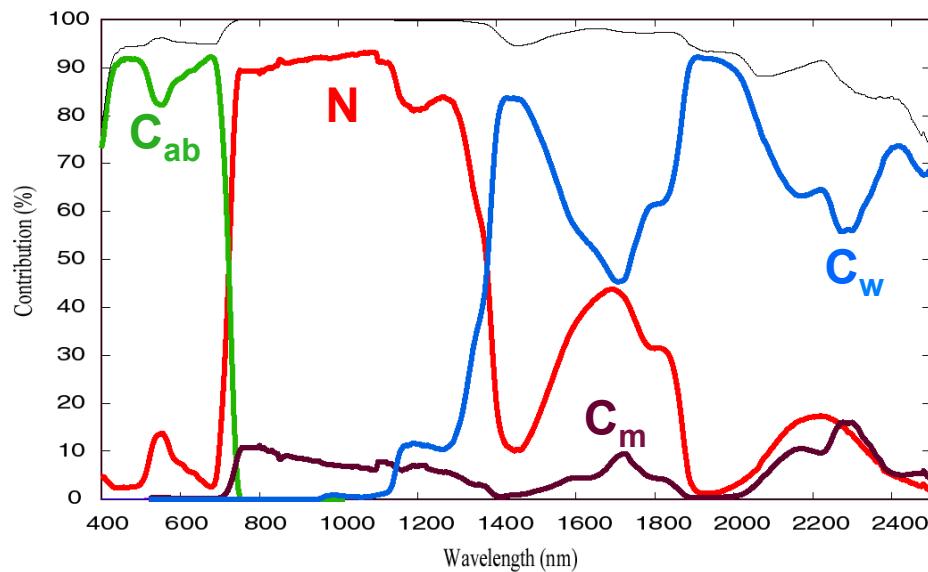
# PROSPECT: sensitivity analysis → water content

« one-factor-at-a-time »



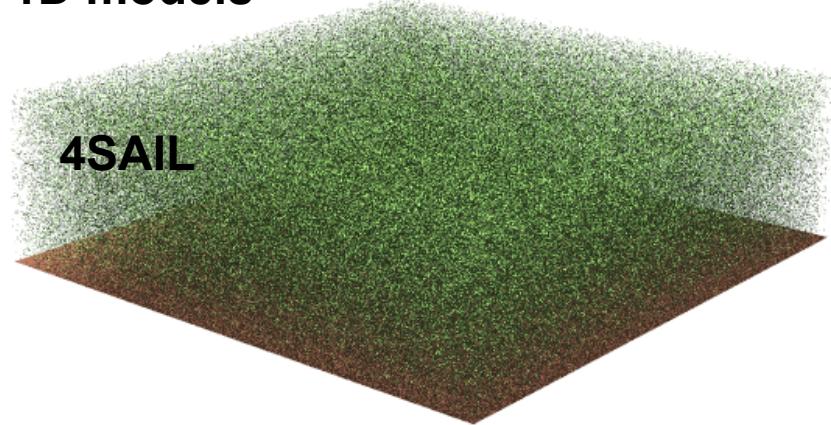
N = leaf structure parameter  
 $C_{ab}$  = chlorophyll content  
 $C_w$  = water content  
 $C_m$  = dry matter content

« global »



# Modeling canopy BRDF

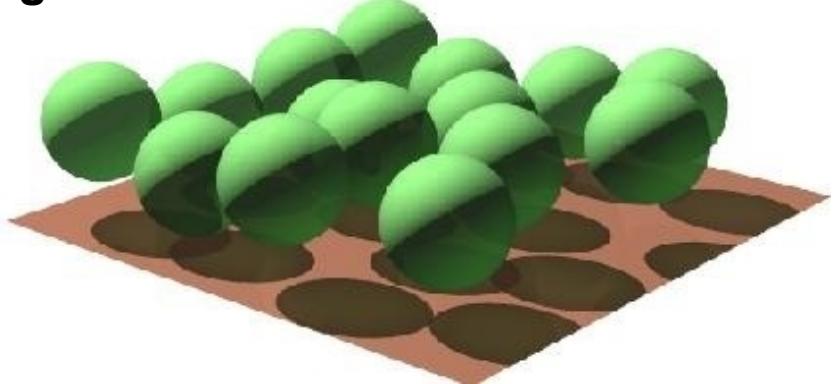
Homogeneous canopies (turbid medium):  
1D models



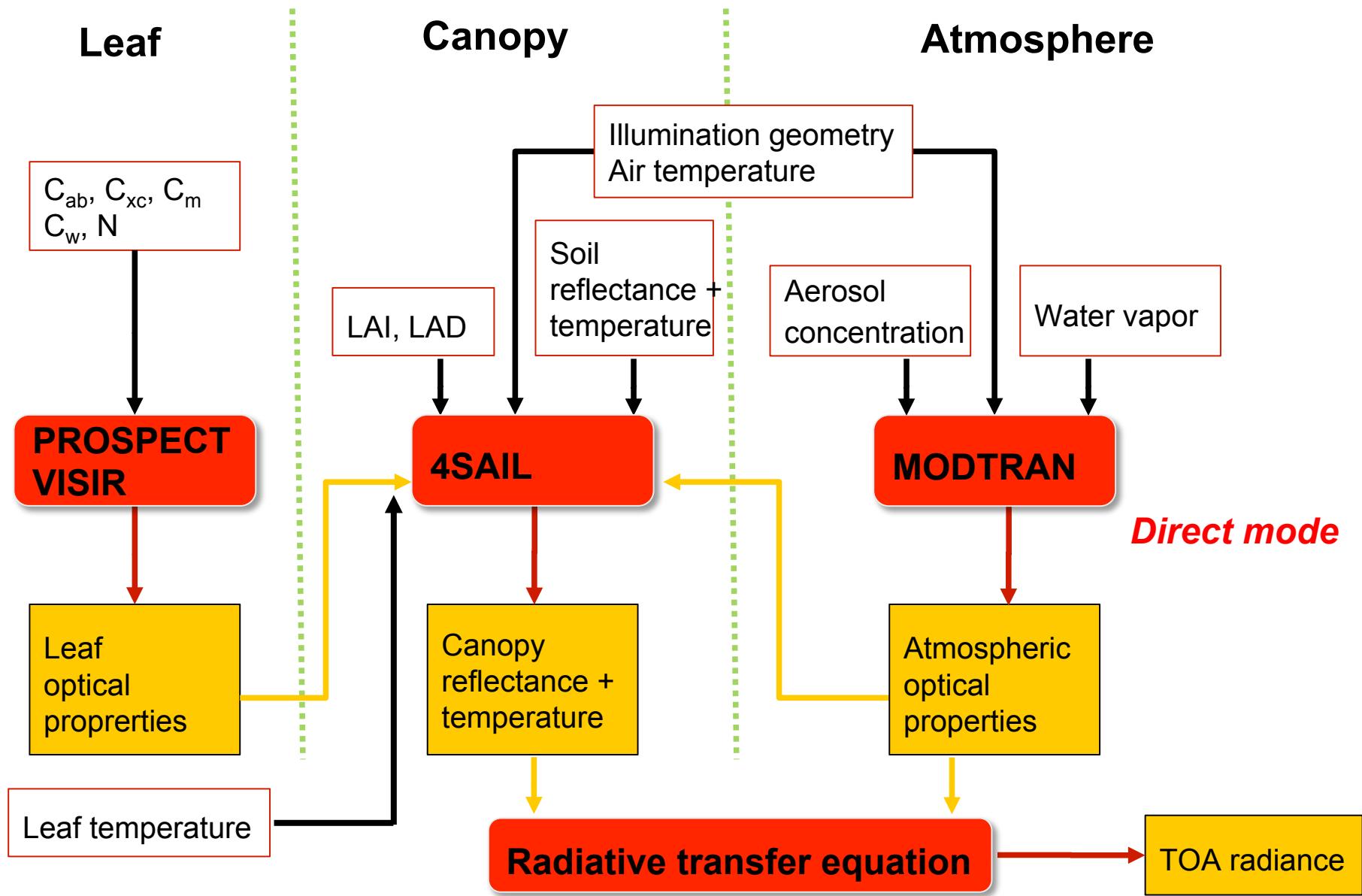
Complex heterogeneous canopies:  
Monte Carlo methods (e.g., DART)



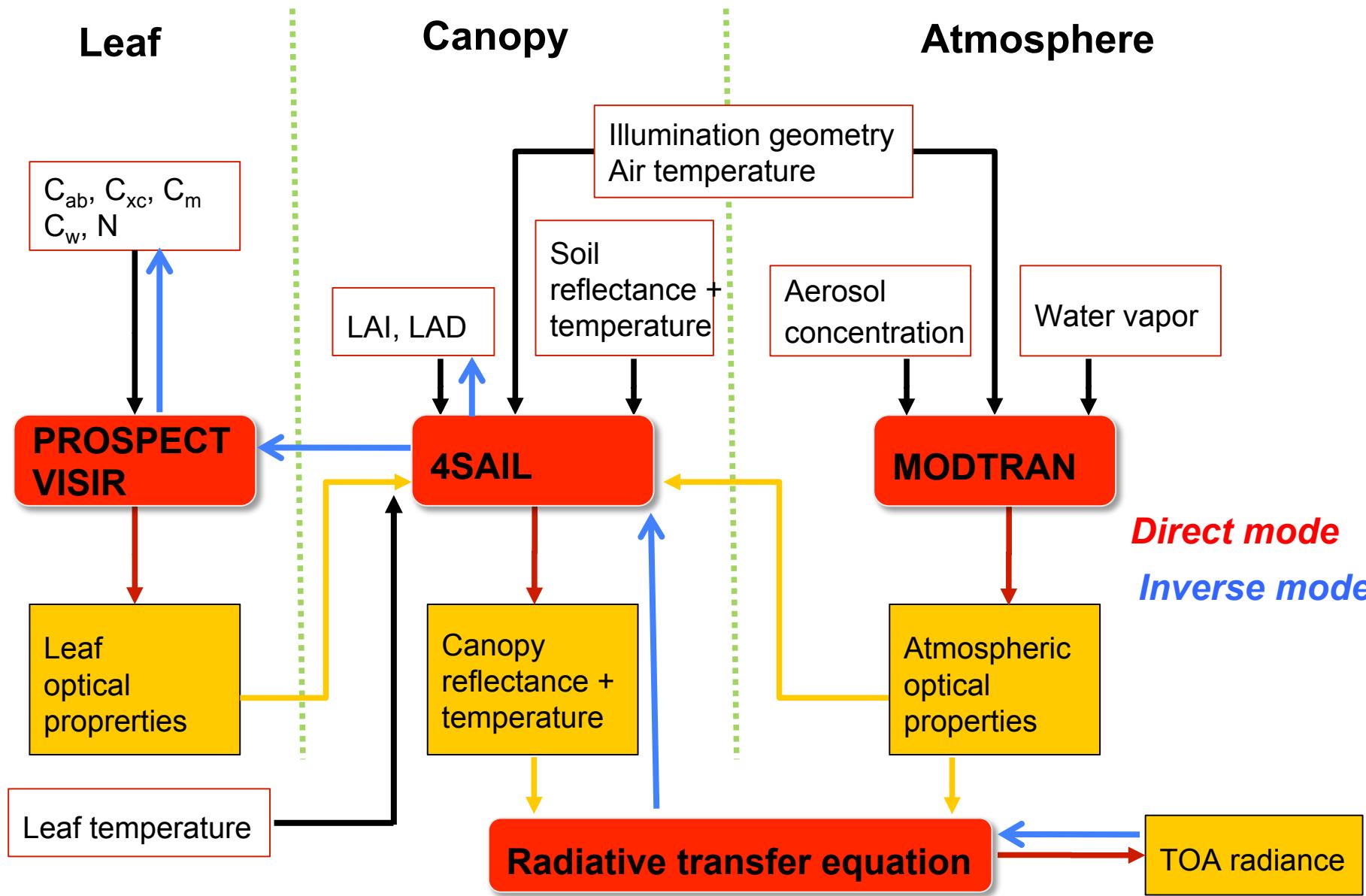
Simple heterogeneous canopies:  
geometrical models



# Canopy level: PROSAIL-VISIR



# Canopy level: PROSAIL-VISIR

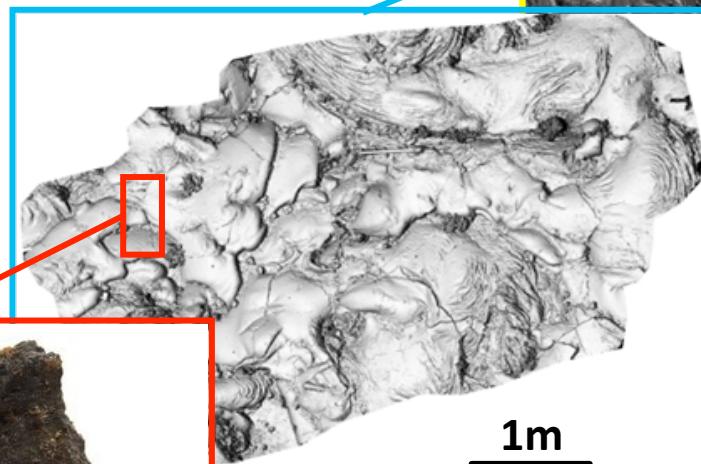


# Surface: multi-scale processes

Example of a pahoehoe lava flow Piton de la Fournaise, Reunion Island



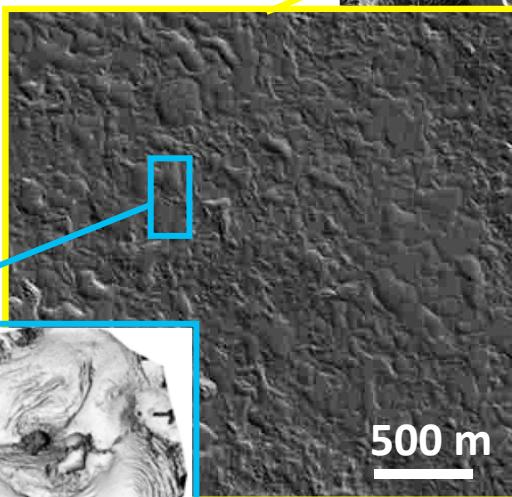
1cm



1m

$\times 100$

porosity  
micro-irregularities



500 m

$\times 500$

macroscopic  
roughness



$\times 4$

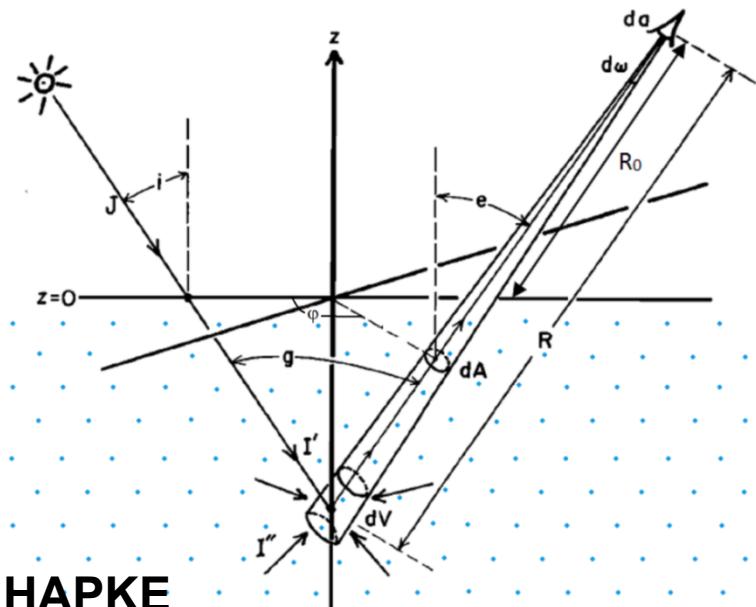
2 km

relief

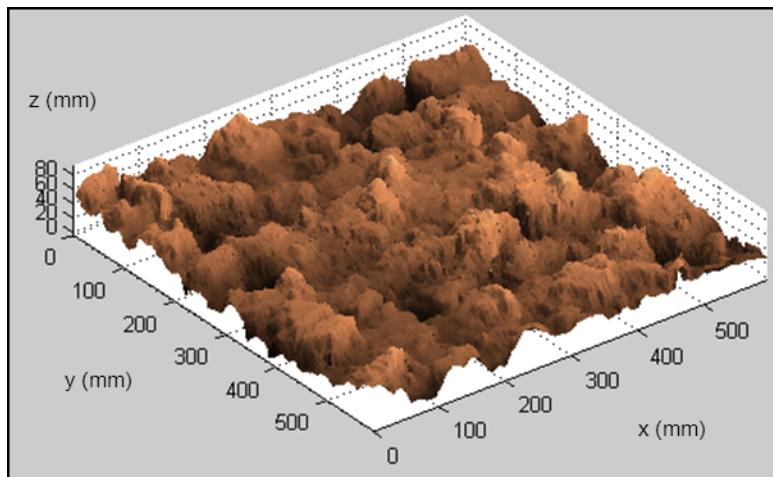
topography

# Modelling surface BRDF

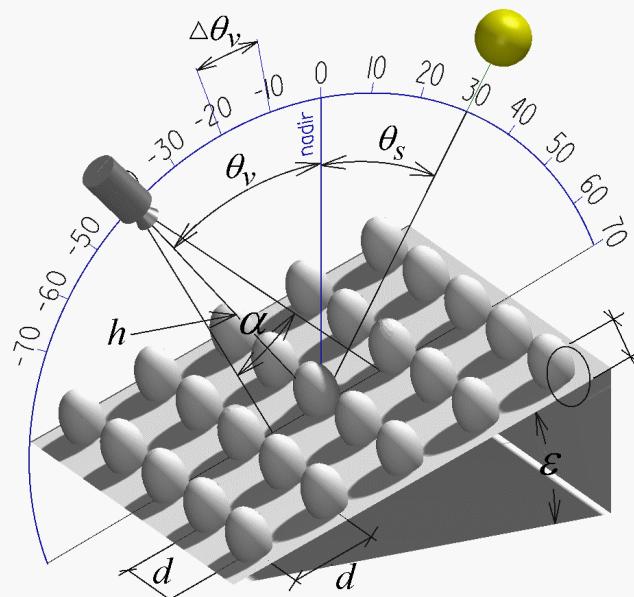
## Radiative transfer equation



HAPKE

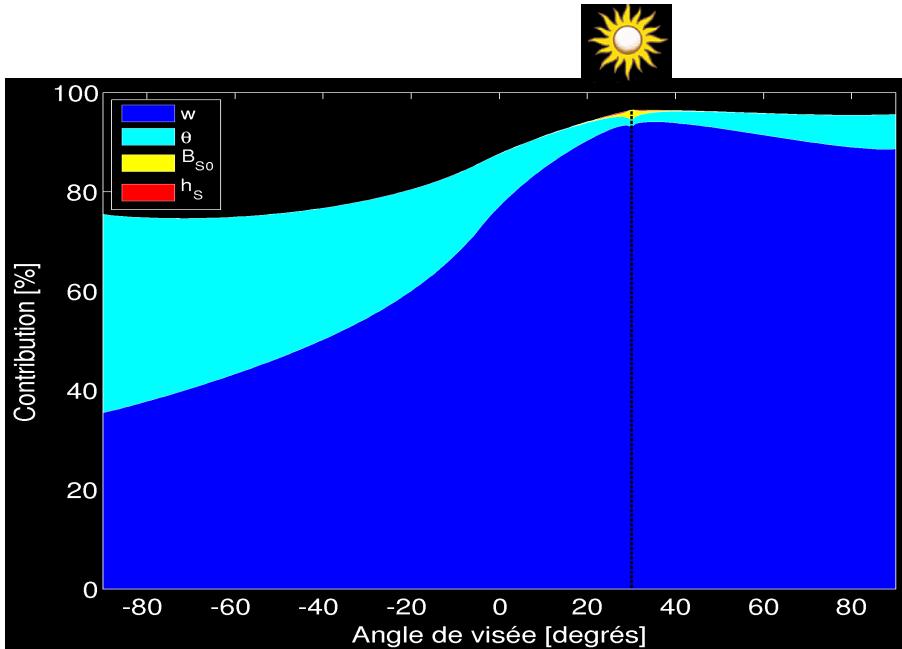
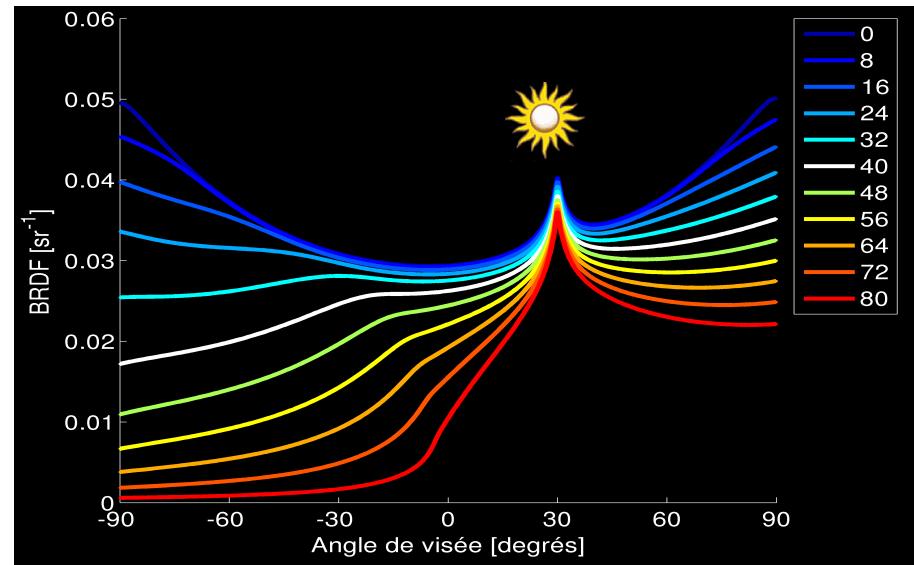


## Geometrical models



## Monte Carlo methods

# Hapke: sensitivity analysis → roughness parameter $\theta$

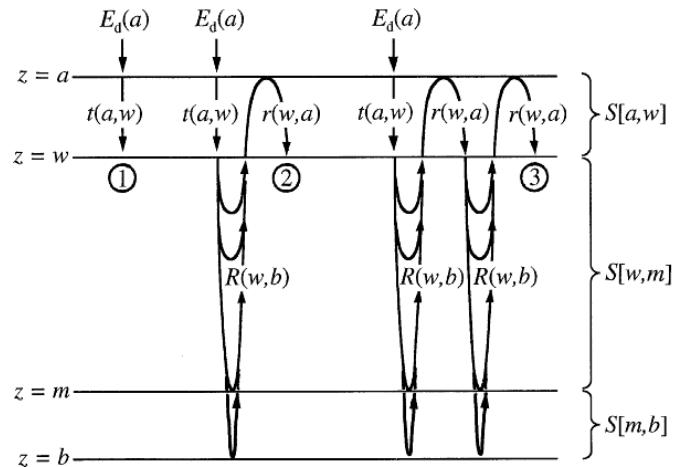


« one-factor-at-a-time »

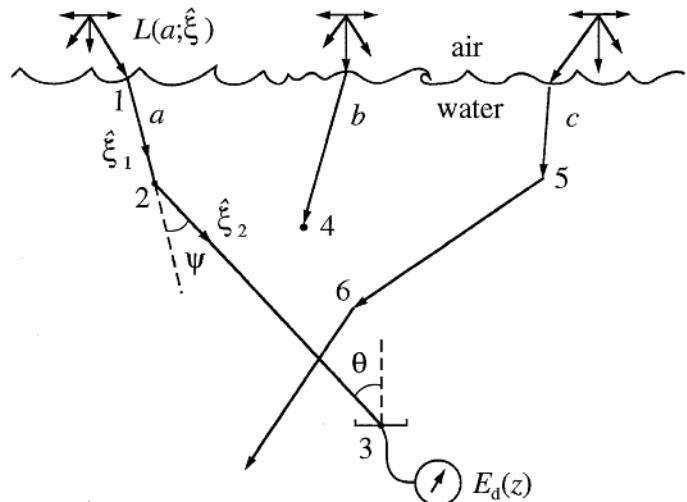
« global »

# Modeling water optical properties

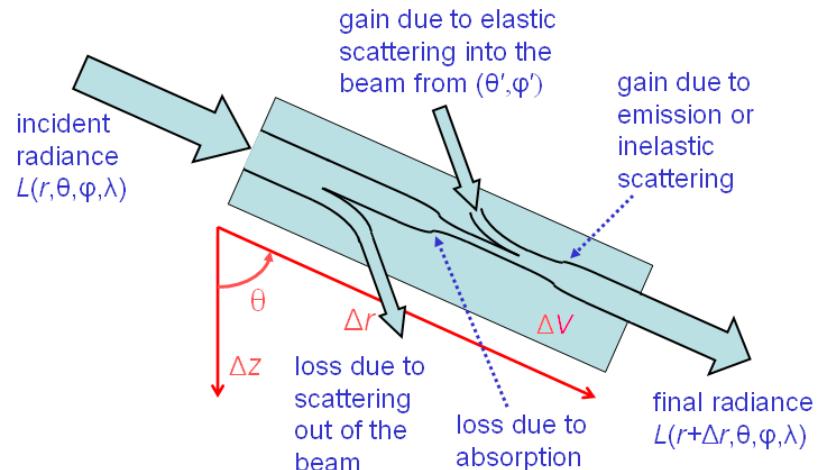
## Two-flow problem



## Monte Carlo methods



## Radiative transfer equation



<http://www.oceanopticsbook.info/>

## **Modeling snow optical properties**

Two-streAm Radiative TransfEr in Snow model (TARTES):  
a fast and easy-to-use optical radiative transfer model to compute spectral albedo of a  
given snowpack ([Libois et al. 2013](#), [Libois et al. 2014](#))

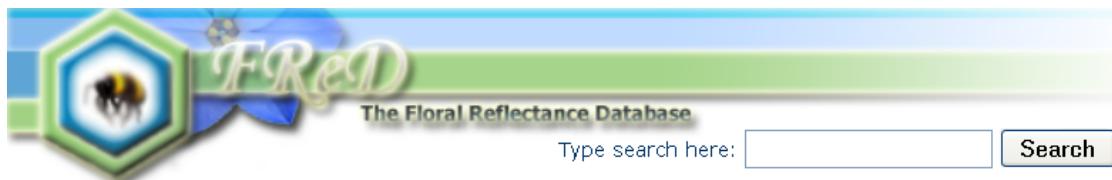
<http://lgge.osug.fr/~picard/tartes/>

## Resources: databases

<http://teledetection.ipgp.fr/prosail/>



<http://reflectance.co.uk/>



<http://speclab.cr.usgs.gov/spectral-lib.html>



<http://www.onera.fr/dota/memoires>

**Memoires, base de données thermo-optiques**

<http://speclib.jpl.nasa.gov/>



<http://www.specchio.ch/>



# Resources: radiative transfer models

<http://opticleaf.ipgp.fr/>



<http://ipl.uv.es/artmo/>



<http://rami-benchmark.jrc.ec.europa.eu/HTML/>



<http://www.cesbio.ups-tlse.fr/us/dart.html>



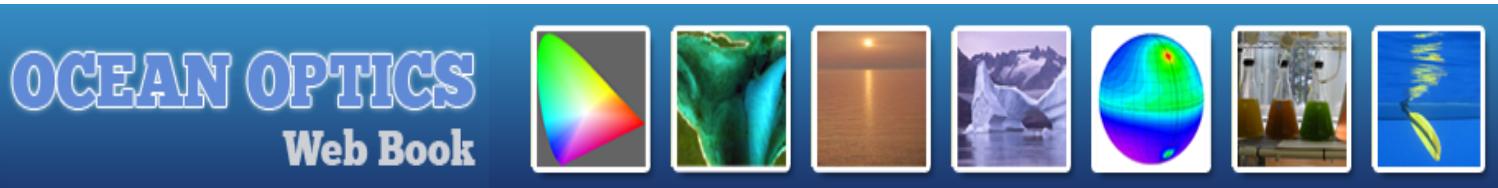
The DART model (Discrete Anisotropic Radiative Transfer)

<http://www.npsg.uwaterloo.ca/models.php>

Natural Phenomena Simulation Group  
University of Waterloo



<http://www.oceanopticsbook.info/>

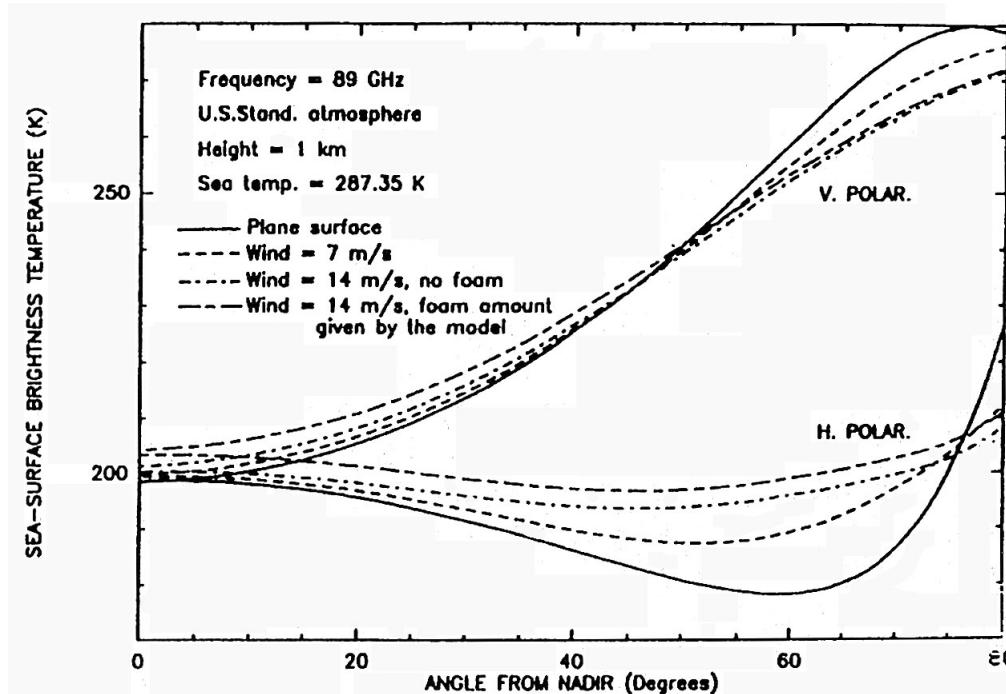


# Infrared and Microwave

## The **ocean** emissivity model in the **infrared and microwave**

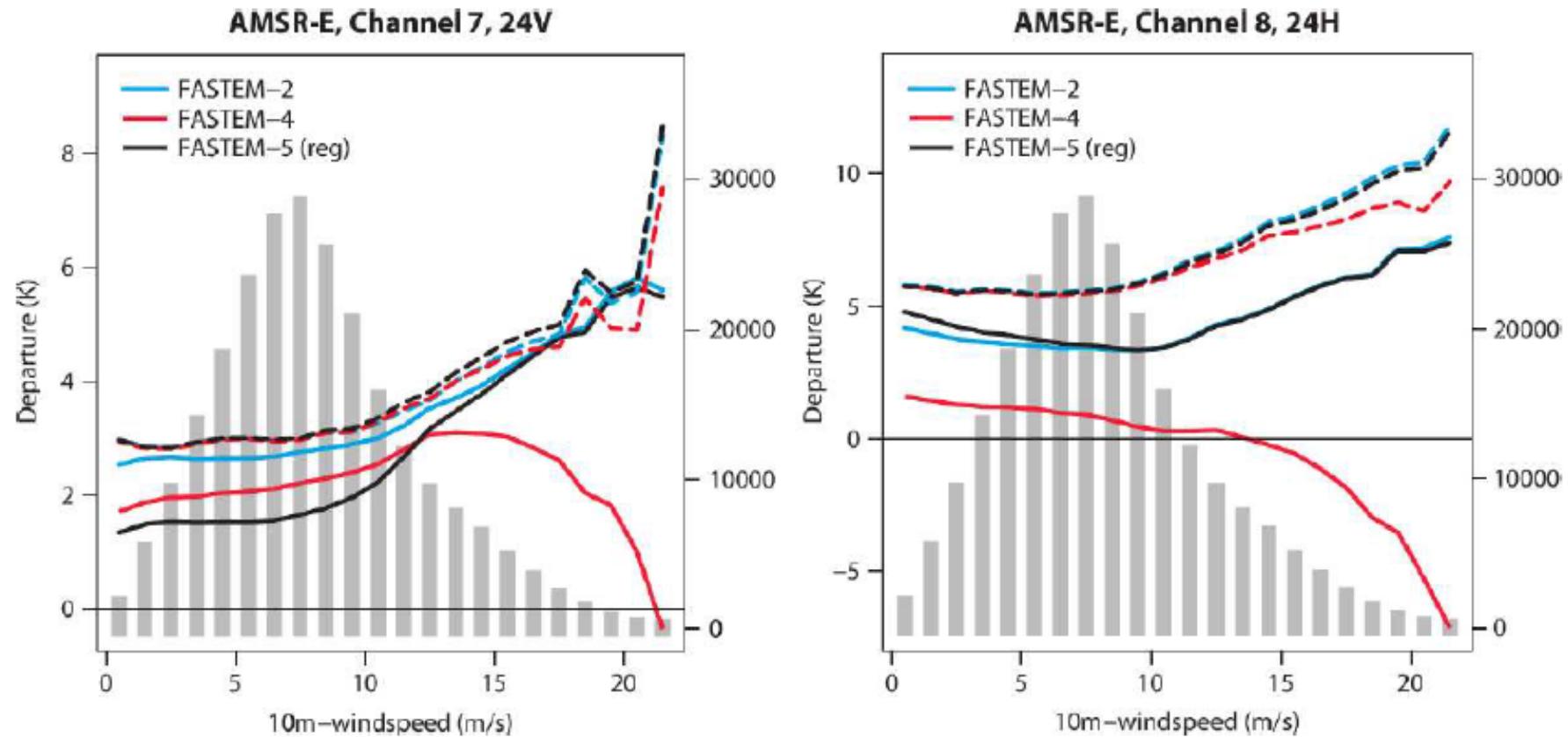
- A function of surface wind speed, surface temperature, salinity, chlorinity
- Calculation of the dielectric properties of the ocean water as a function of frequency, surface temperature, salinity, chlorinity
- Wind-induced roughness to be taken into account: Large scale geometric optic (wave slope distrib.) + small scale roughness (ripples)
- Foam produced when wind increases (estimation of foam cover and emissivity)
- Fast models derived. ISEM in the infrared, FASTEM for the from the two-scale models,

# The ocean emissivity model in the microwave



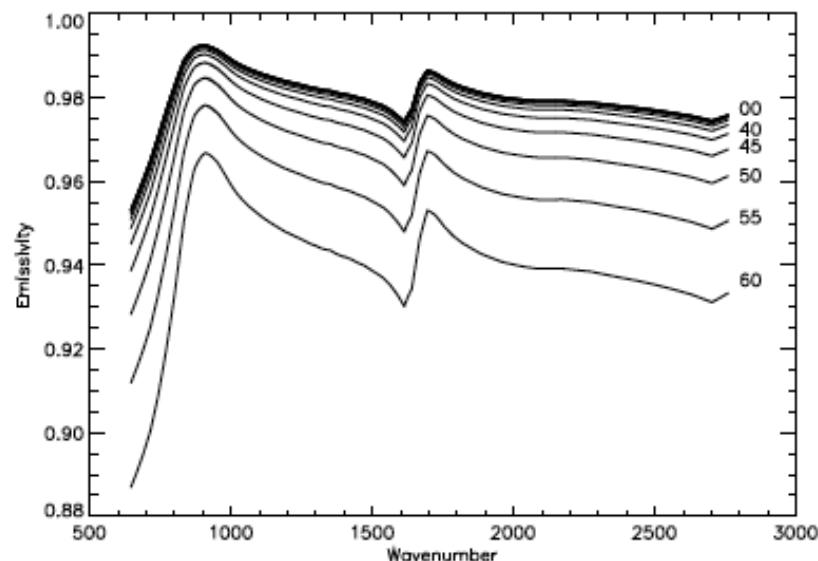
- FASTEM used in RTTOV as well as in CRTM, under permanent development.  
(English and Hewison, 1998; Liu et al., 2011  
Current questions about foam modeling (cover as well as emissivity).  
FASTEM 6 now.
- For special applications such as SMOS, specific developments performed  
(e.g., Xin et al., IEEE, 2011; Mouche et al., WRCM, 2007)

# The ocean emissivity model in the microwave

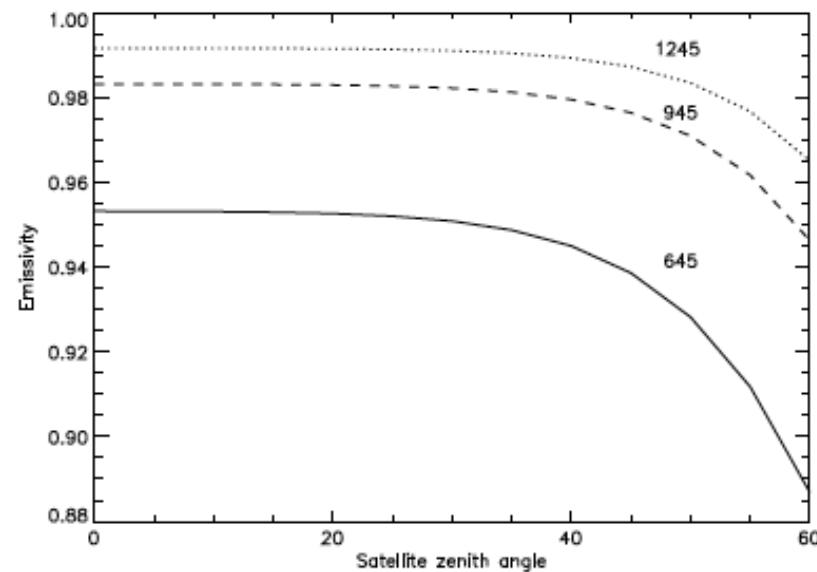


Statistics of the first guess departure (observations minus first guess simulations) for different FASTEM versions at 24 GHz, as a function of the model wind speed at 10 m. The solid line gives the bias and the dashed line the std. The wind population is provided in grey. From Bormann et al., 2014.

# The ocean emissivity model in the infrared



(a) Spectral and zenith angle dependence of sea surface emissivity (Masuda,  $| u | = 0$ ).



(b) Zenith angle dependence of the sea surface surface at 645, 945, and 1245 cm<sup>-1</sup>.

ISME: the IR ocean emissivity model.

The spectral and zenith dependence of the ocean surface emissivity in the infrared.  
From Sherlock et al., 1999.

# **Infrared and microwave land surface emissivity model**

## **Two main possibilities**

### **Modeling**

- Accuracy depends upon the surface type.
- Number of input parameters and their reliability depend upon the surface type.
  - Efficient models over ocean that can be applied at higher frequency
  - Soil and vegetation likely to have limited frequency dependence
  - Snow and ice modeling very questionable

### **Parameterization from satellite-derived observations**

- Captures well the spatial, temporal, and spectral variability of the emissivities
- Requires satellite observations under similar conditions (frequency, incidence angle, polarizations) or a scheme to extrapolate from a condition to the other

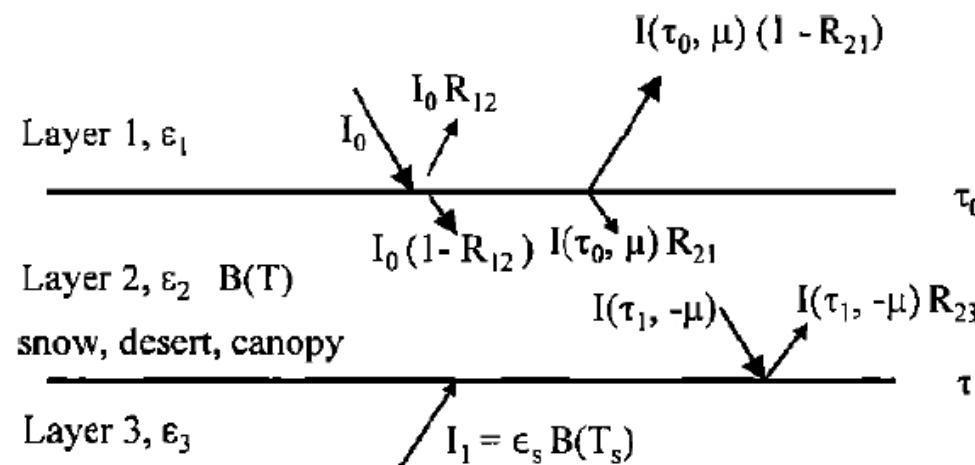
# Microwave land surface emissivity model

Many specific models developed for specific environments.

Two major generic model used in NWP centers that can cover the globe:

**Microwave Land Emissivity Model (MLEM) in Community Radiative Transfer Model (CRTM) developed at NOAA (Weng et al., 2001)**

- A three layer model with calculation of the reflection and scattering properties
- For high fractional volume of particles (snow and desert), dense-medium theory
- Modified Fresnel eq. to account for polarization and surface roughness at interface
- Between 5 and 150 GHz for most land conditions.



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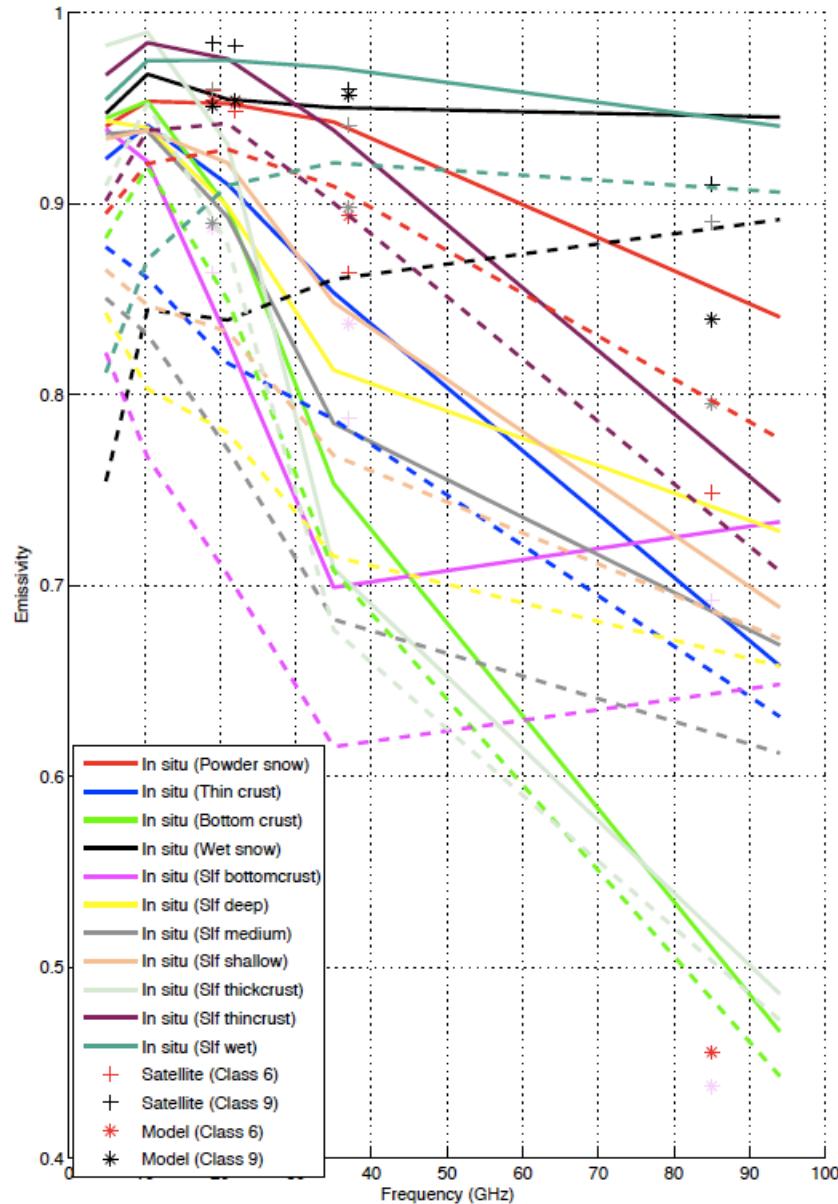
**Community Microwave Emission Modelling Platform (CMEM) developed at ECMWF (Holmes et al., 2008)**

- Highly modular software package
- Based on the parameterizations used in the L-Band (1.4 GHz) Microwave Emission of the Biosphere (LMEB, Wigneron et al., 2007, Pellarin et al., 2003) and Land Surface Microwave Emission Model (LSMEM, Drusch et al., 2007).
- from 1 GHz to 20 GHz

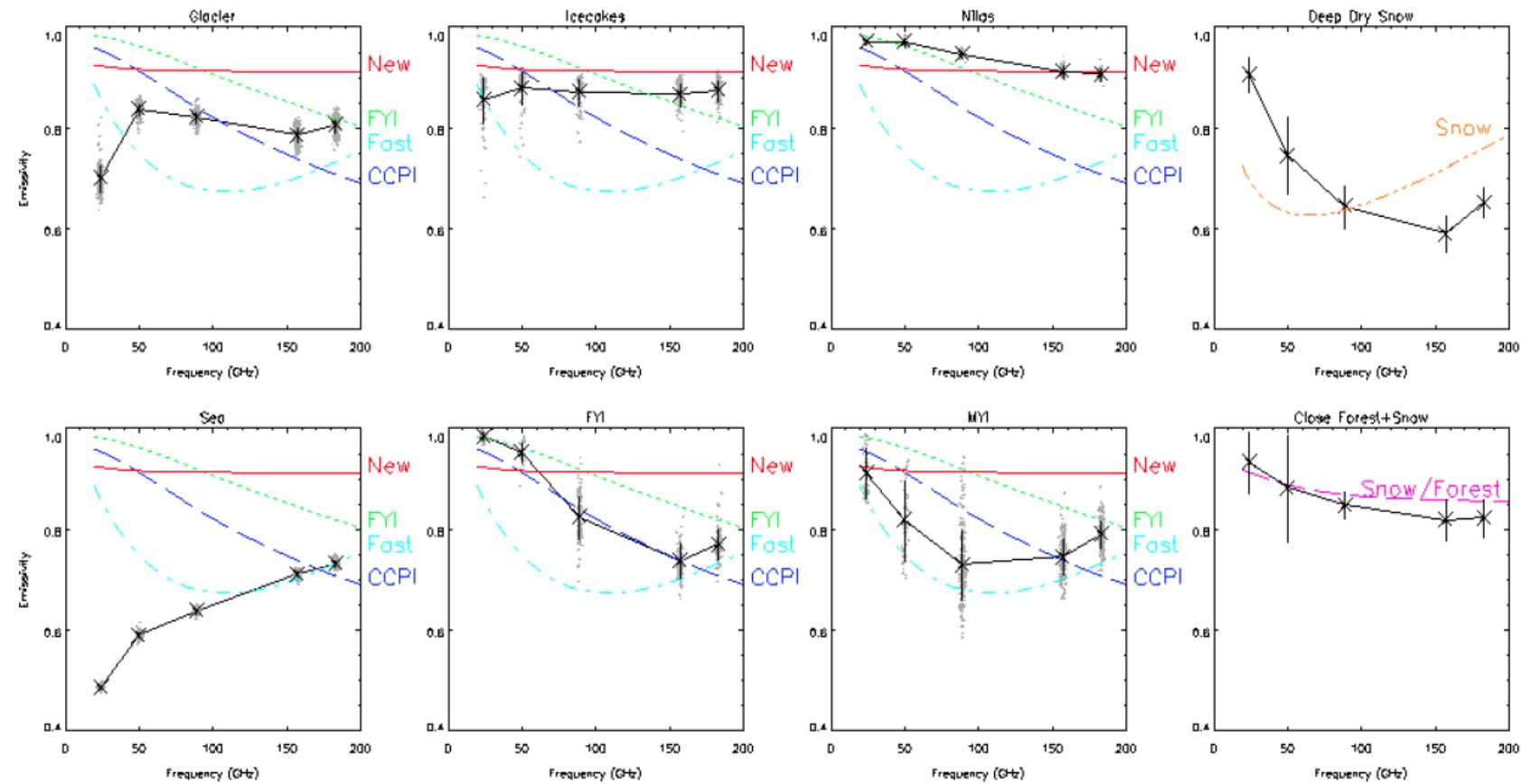
# Microwave snow, ice, and sea ice emissivity

From in situ measurements, a very large variability.

Measurements at 4.9, 10.4, 21, 35, and 94 GHz with the PAMIR radiometer  
(derived from Matzler , MAP, 1994)

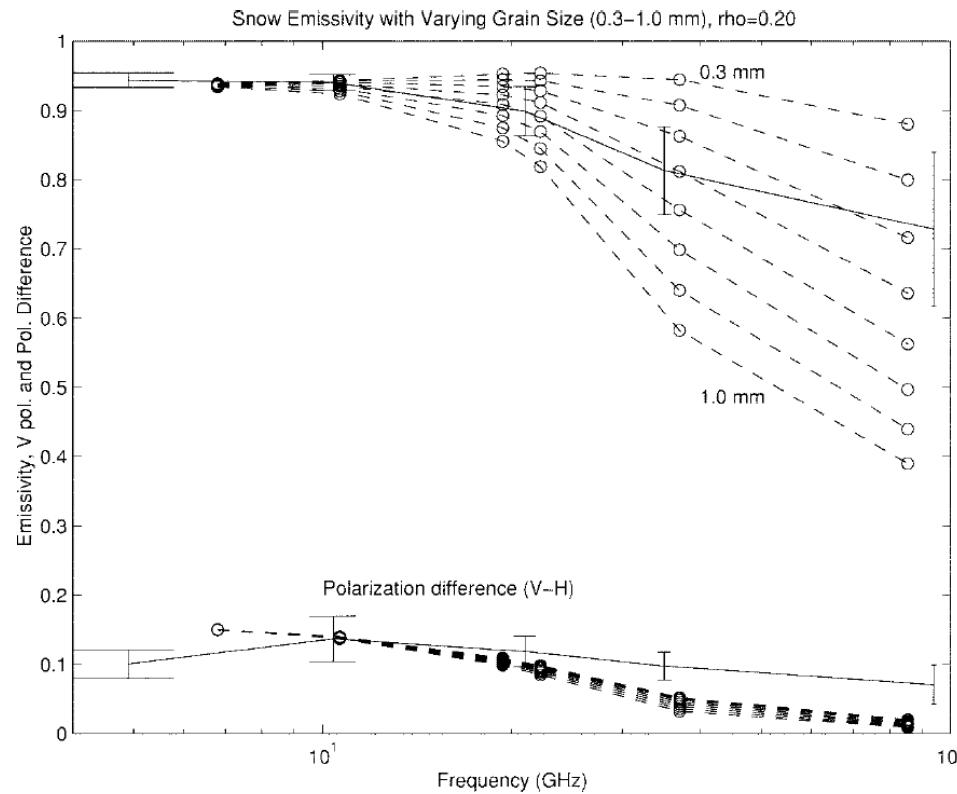


# Microwave snow, ice, and sea ice emissivity



Sea-ice emissivity close to nadir, observed from DEIMOS and MARSS instruments on board the UK MetOffice aircraft, over the Barents Sea (black points and lines). Color lines represent previous estimates of the emissivities. From Hewison and English, 2002.

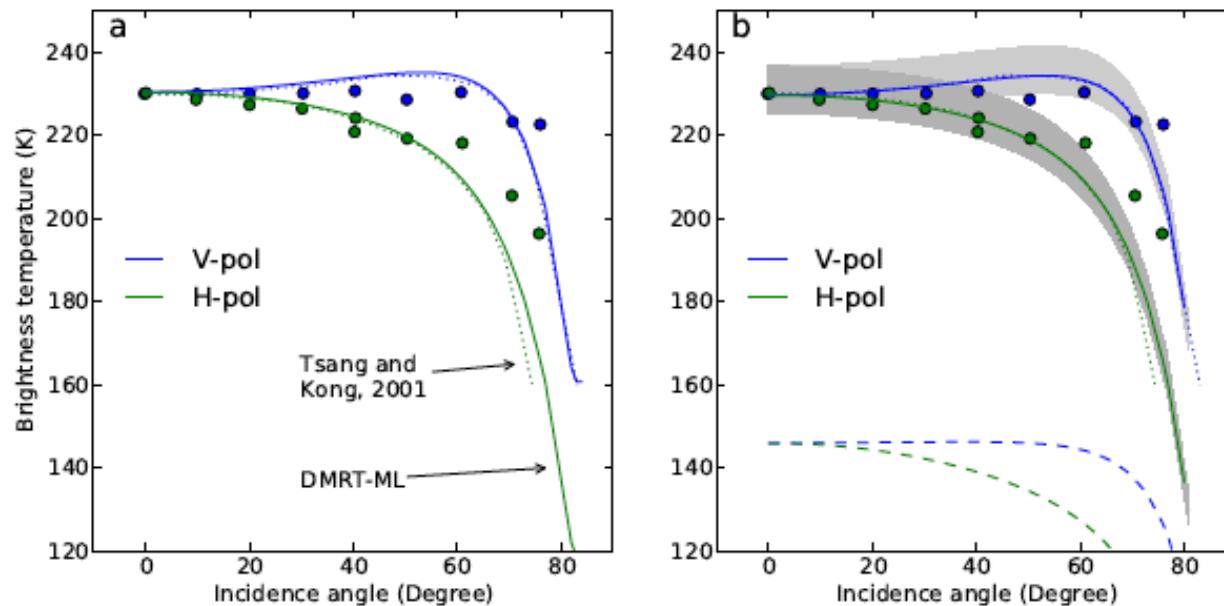
## Microwave snow, ice, and sea ice emissivity: Large sensitivity of the models to the input parameters



Comparison of the HUT snow emission model with experimental emissivity values in the case of a thick dry snow layer for 50° nadir angle. The bars show the standard deviations of experimental observations. The snow water equivalent is 300 mm, the snow/air and soil/snow boundaries are considered smooth, and the snow density is 0.2. From Pulliainen et al., 1999.

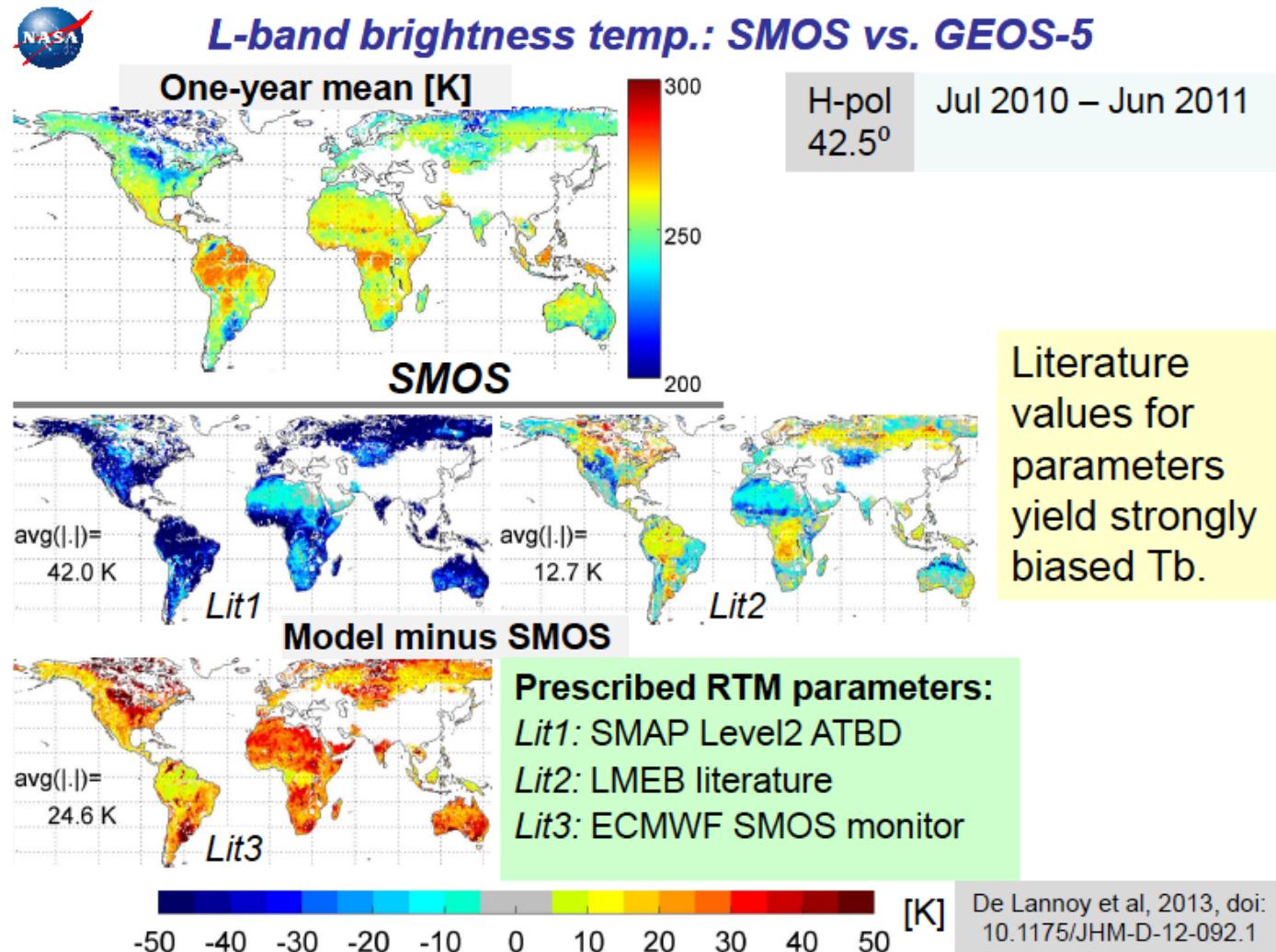
## Microwave snow, ice, and sea ice emissivity: Large sensitivity of the models to the input parameters

The Dense Media Radiative Transfer - Multi Layers model (DMRTML or DMRT-ML):  
Numerical simulation of the microwave emission of the snowpack using a model based  
on the DMRT theory (Picard et al., GMD, 2013, <http://lgge.osug.fr/~picard/dmrtml/>)



Comparison of DMRT-ML simulations (solid line) at 18 GHz with previous calculations (Tsang and Kong, 2001) (dotted curve) and with experimental observations (filled dots). The medium is semi-infinite, with a density of  $350 \text{ kg m}^{-3}$ , a temperature of 272 K, a grain radius of 1.75mm and an ice dielectric constant of  $3.2+ \pm 0.016$ . Simulations with a more realistic dielectric constant (Matzler and Wegmuller, 1987) are shown on the right panel with the original radius of 1.75mm (dashed curve) and refitted radius of 0.83mm (solid line). The gray bars represent variations of the dielectric constant imaginary part of  $\pm 20\%$  around in the later case. From Picard et al., GMD, 2013.

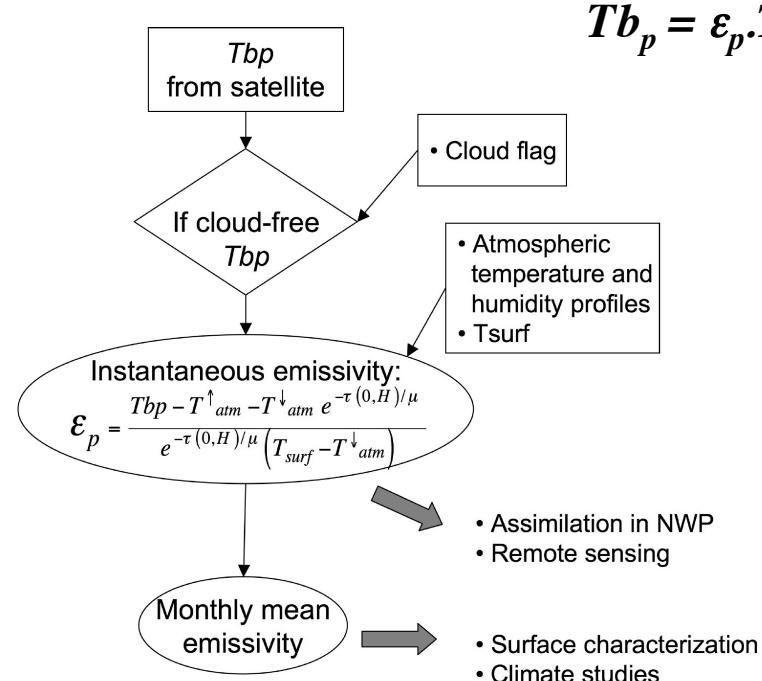
# Microwave soil and vegetation emissivity model: Difficulty to reproduce the satellite observations



From a presentation by Rolf Riechle (NASA): Drastic calibration needed for the model.

# Satellite-derived microwave land emissivity estimates

A generic method to derive land surface emissivity from satellite that can be applied to microwave imager and sounder window channels



$$T_{bp} = \epsilon_p \cdot T_s \cdot \tau + (1 - \epsilon_p) \cdot T_{down} \cdot \tau + T_{up}$$

$$\epsilon_p = \frac{T_{bp} - T_{up} - T_{down} \cdot \tau}{\tau \cdot (T_s - T_{down})}$$

Prigent et al., JGR, 1997; BAMS, 2006

A methodology often used since for other instruments: AMSU (Prigent et al., 2005; Karbou et al., 2005), AMSR-E (Moncet et al., 2008)

To have a generic estimation, necessity to parameterize the frequency, angular, and polarization dependences.

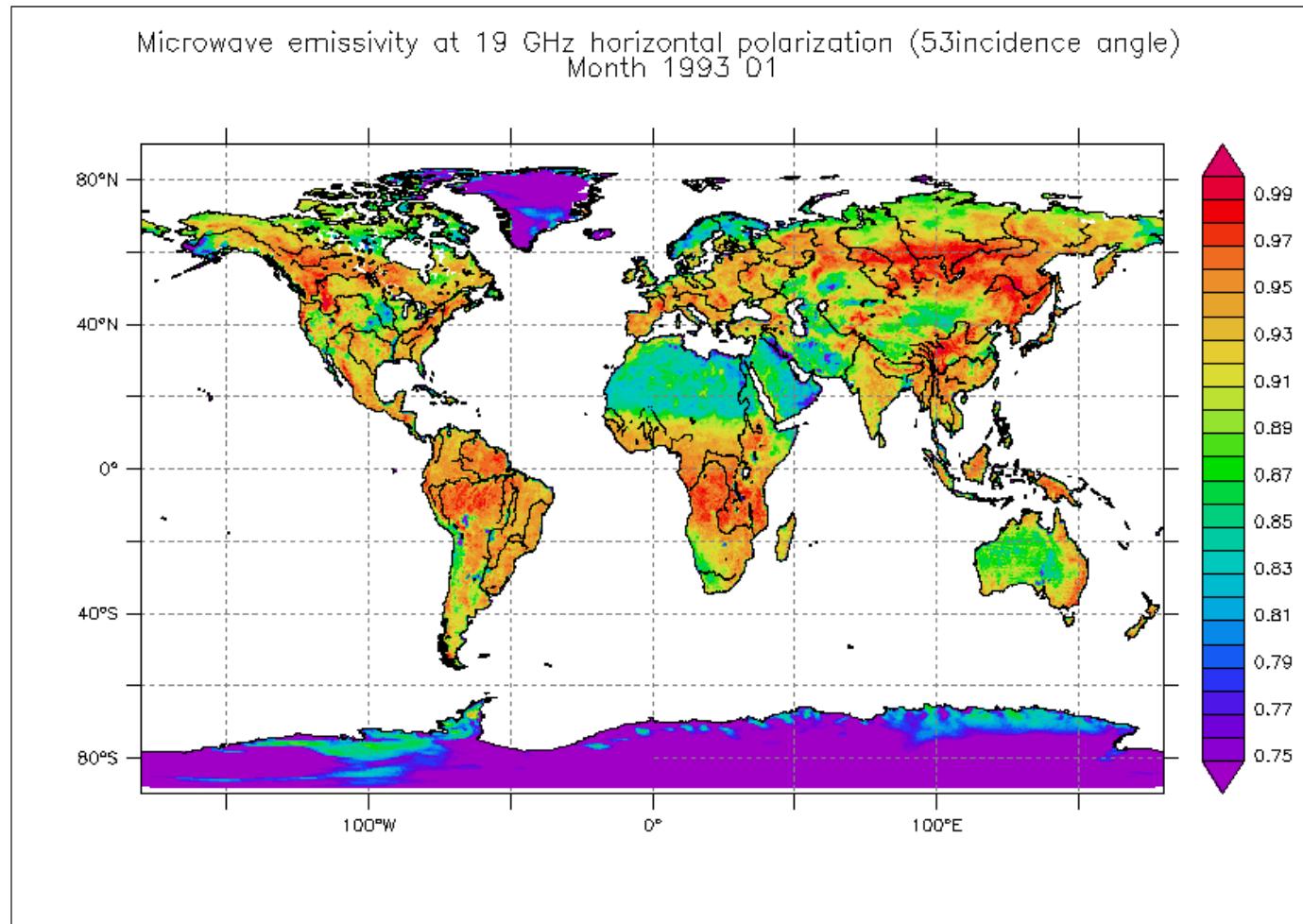
TELSEM: Tool to Estimate Land Surface Emissivities in the Microwaves

(Aires et al., JQSRT, 2011)

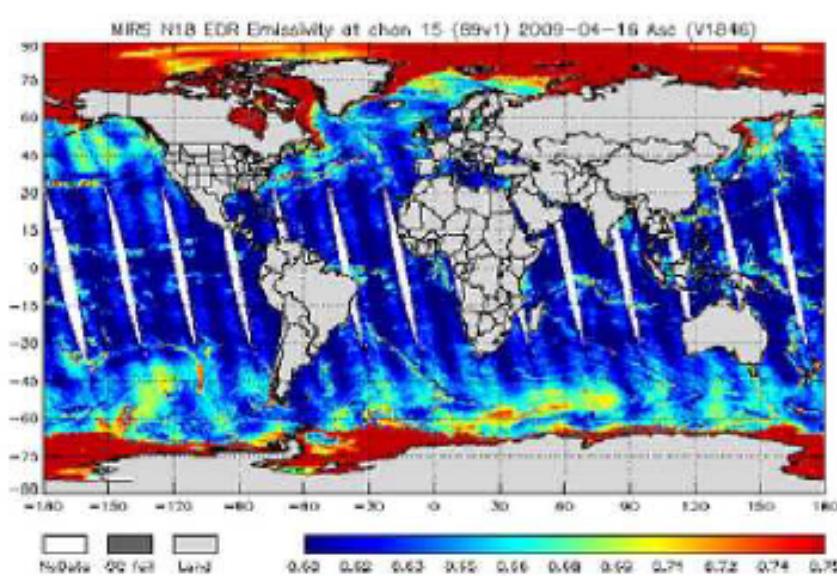
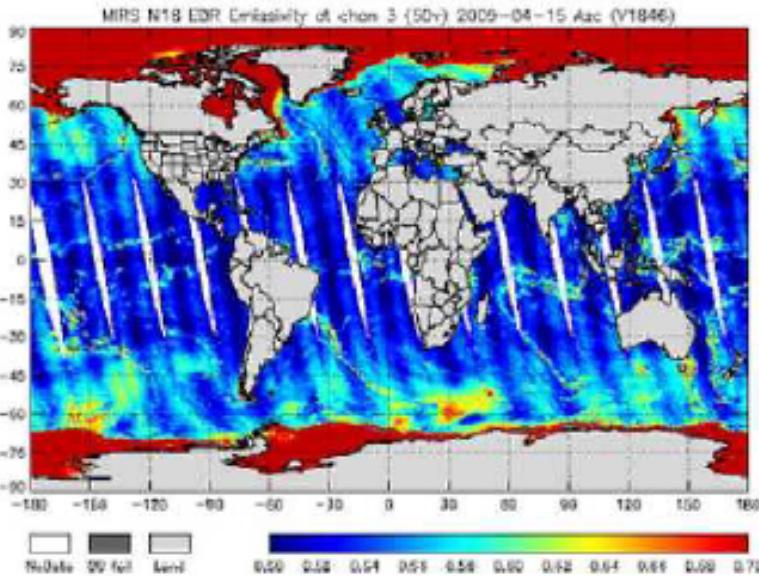
Implemented in RTTOV and in CRTM. Mean values + matrix of error correlation

## Satellite-derived microwave land emissivity estimates

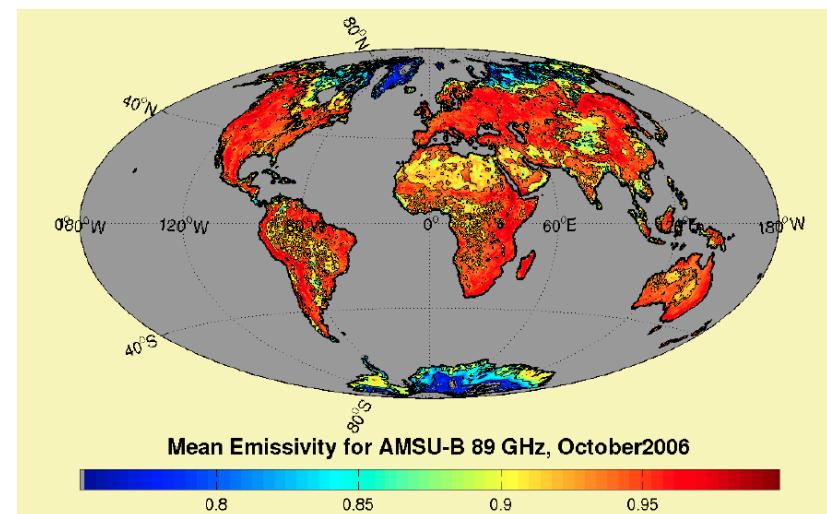
A database of global daily emissivities over 15 years derived from all the available SSMI instruments, along with a few months of other satellite-derived maps.  
A monthly-mean product with a spatial resolution of  $0.25^{\circ} \times 0.25^{\circ}$  at the equator.



# Satellite-derived microwave land emissivity estimates

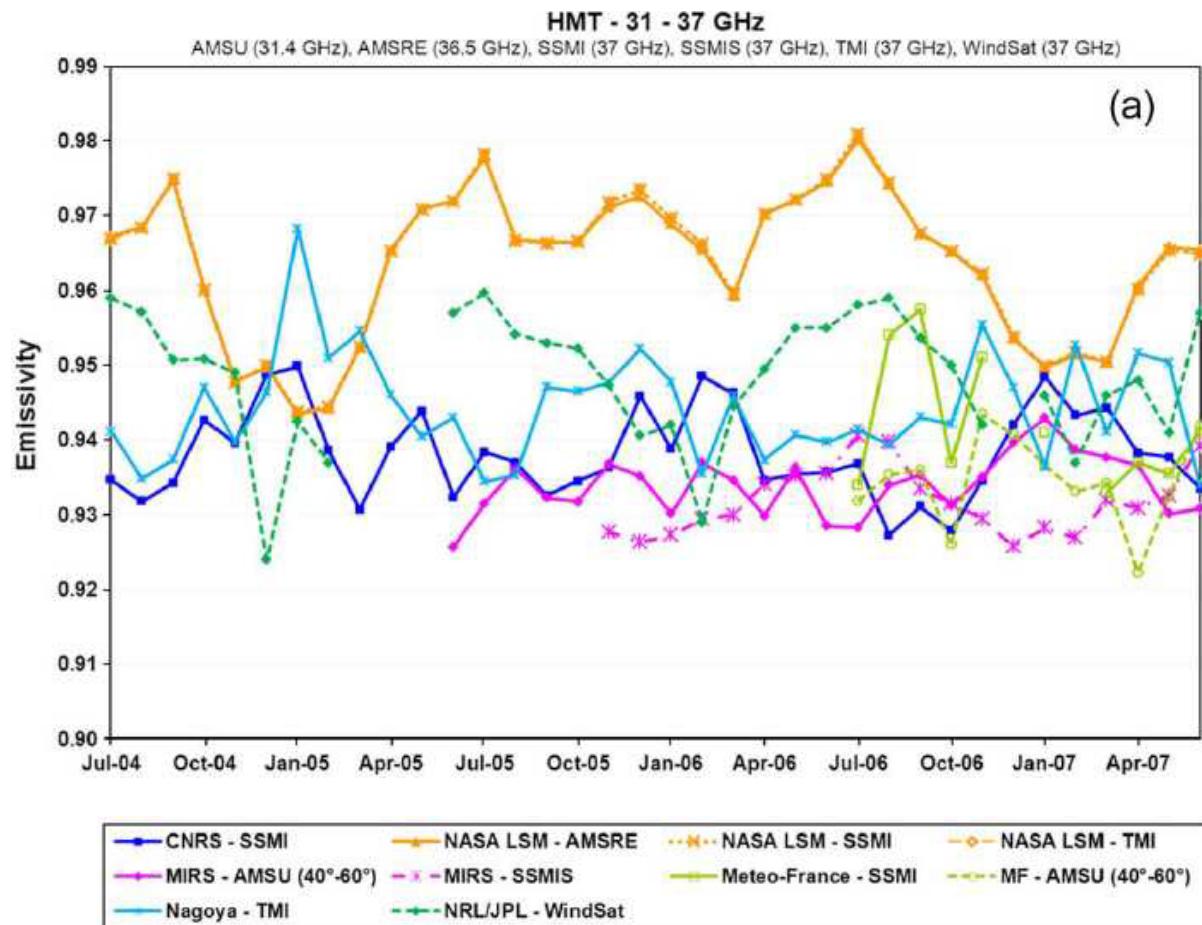


Sea ice emissivity from Kongoli  
et al., IEEE, 2010



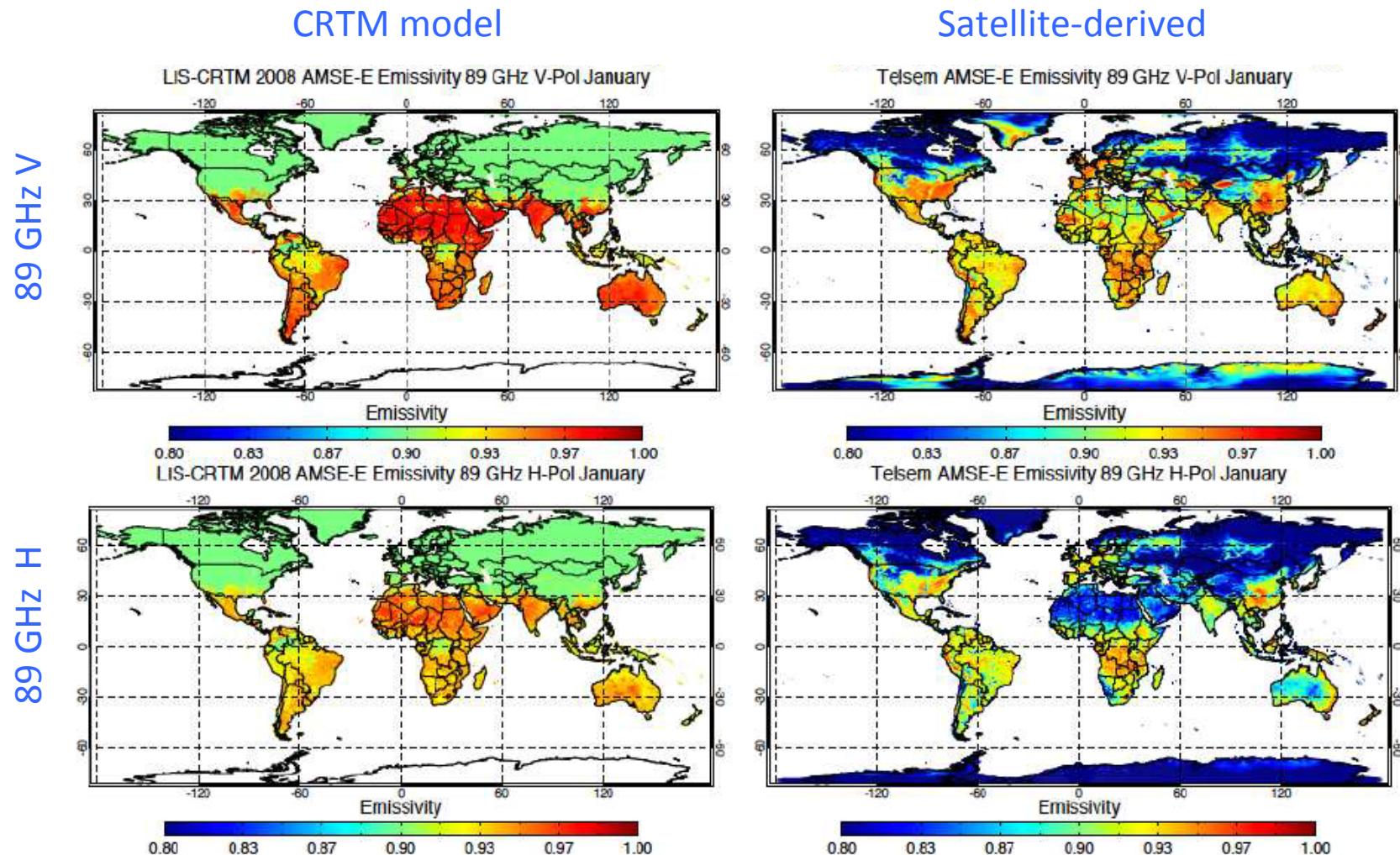
Land surface emissivity from  
Karbou et al., IEEE, 2005

# Satellite-derived microwave land emissivity estimates



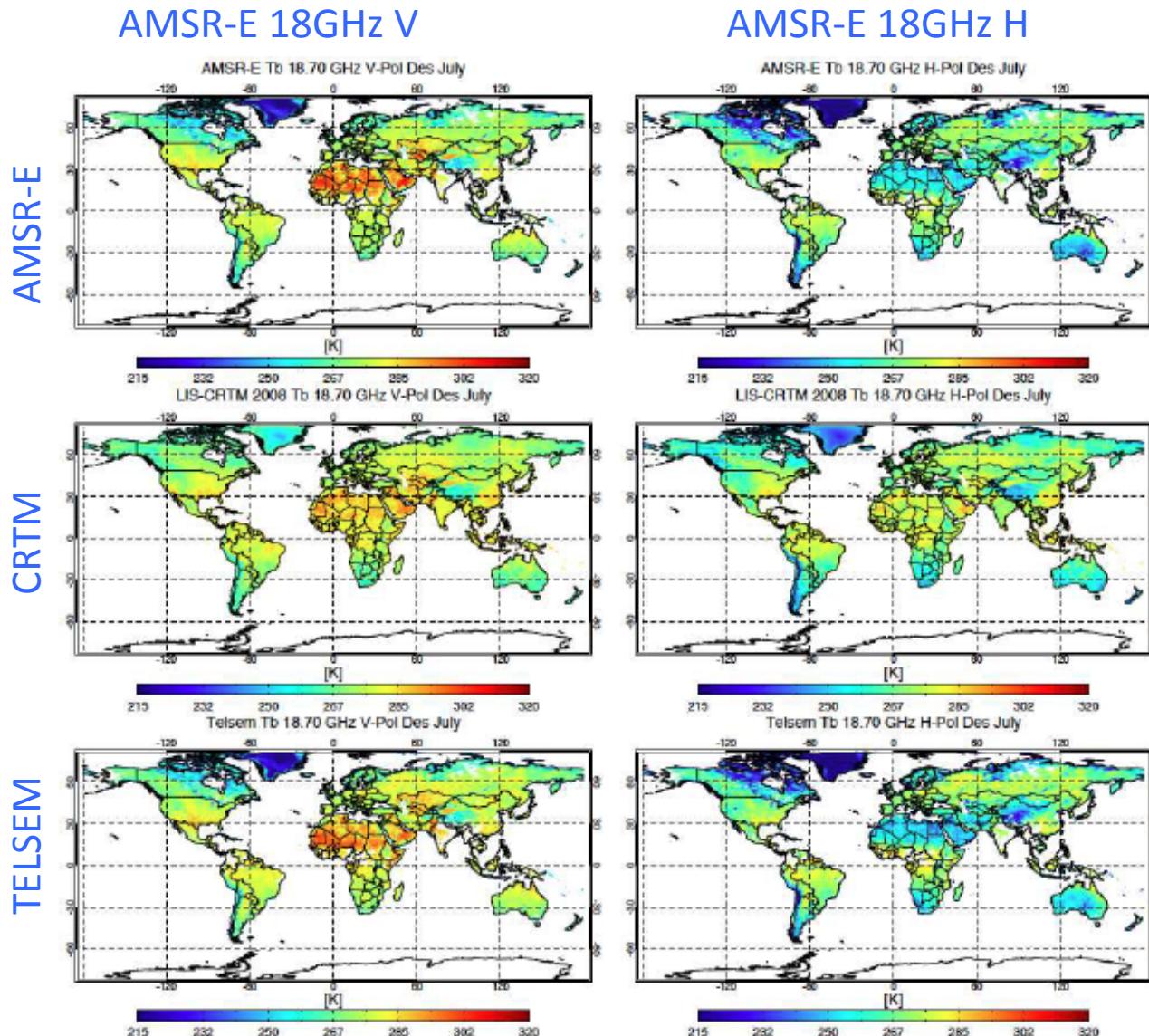
Monthly mean clear-sky emissivity at 31 and 37 GHz (for vertical polarization for imagers and for mixed polarizations for AMSU and MHS), for the South Great Plains site from July 2004 to June 2007. From Ferraro et al., 2013.

# Satellite-derived microwave land emissivity estimates



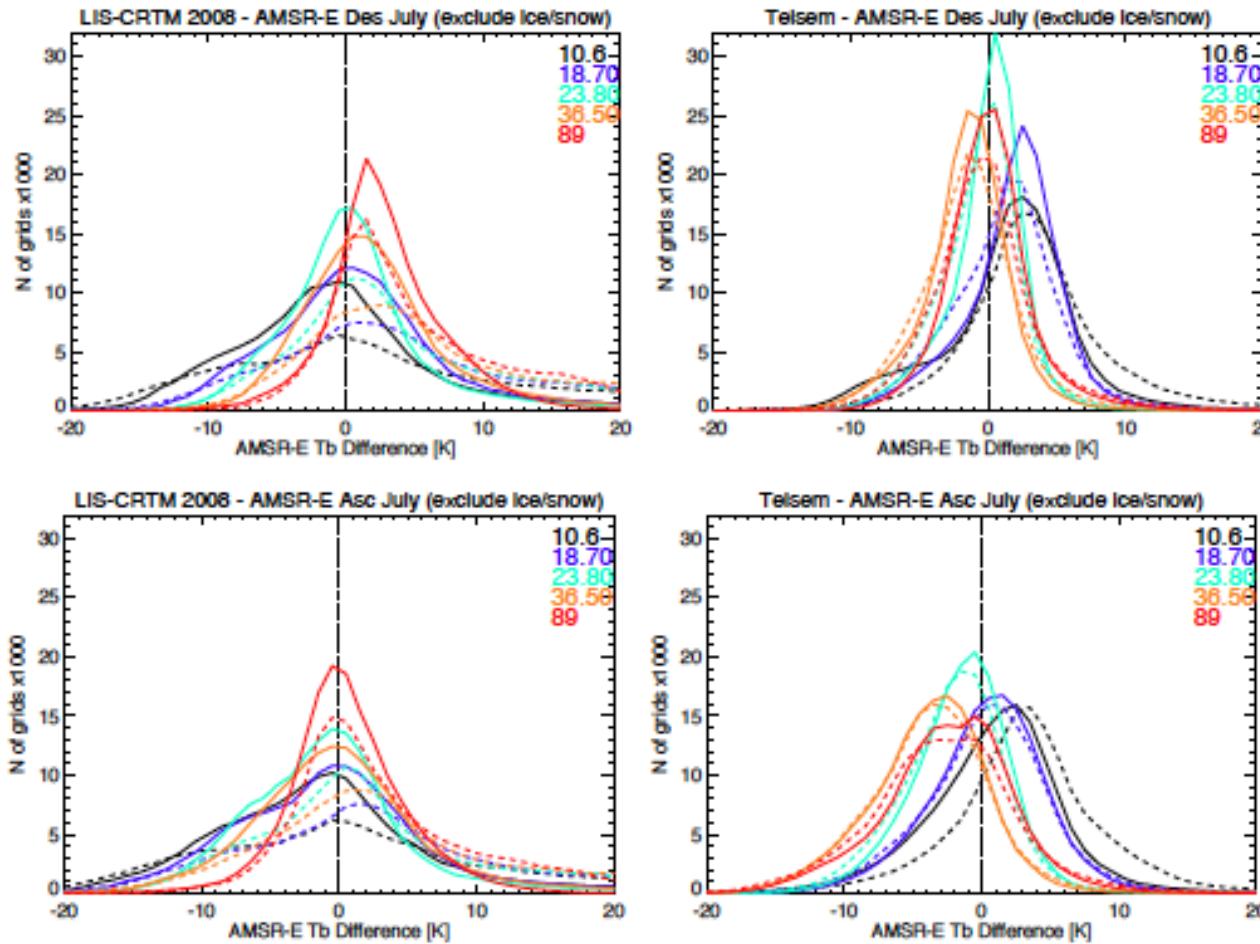
Prigent et al., JGR, 2015

# Satellite-derived microwave land emissivity estimates



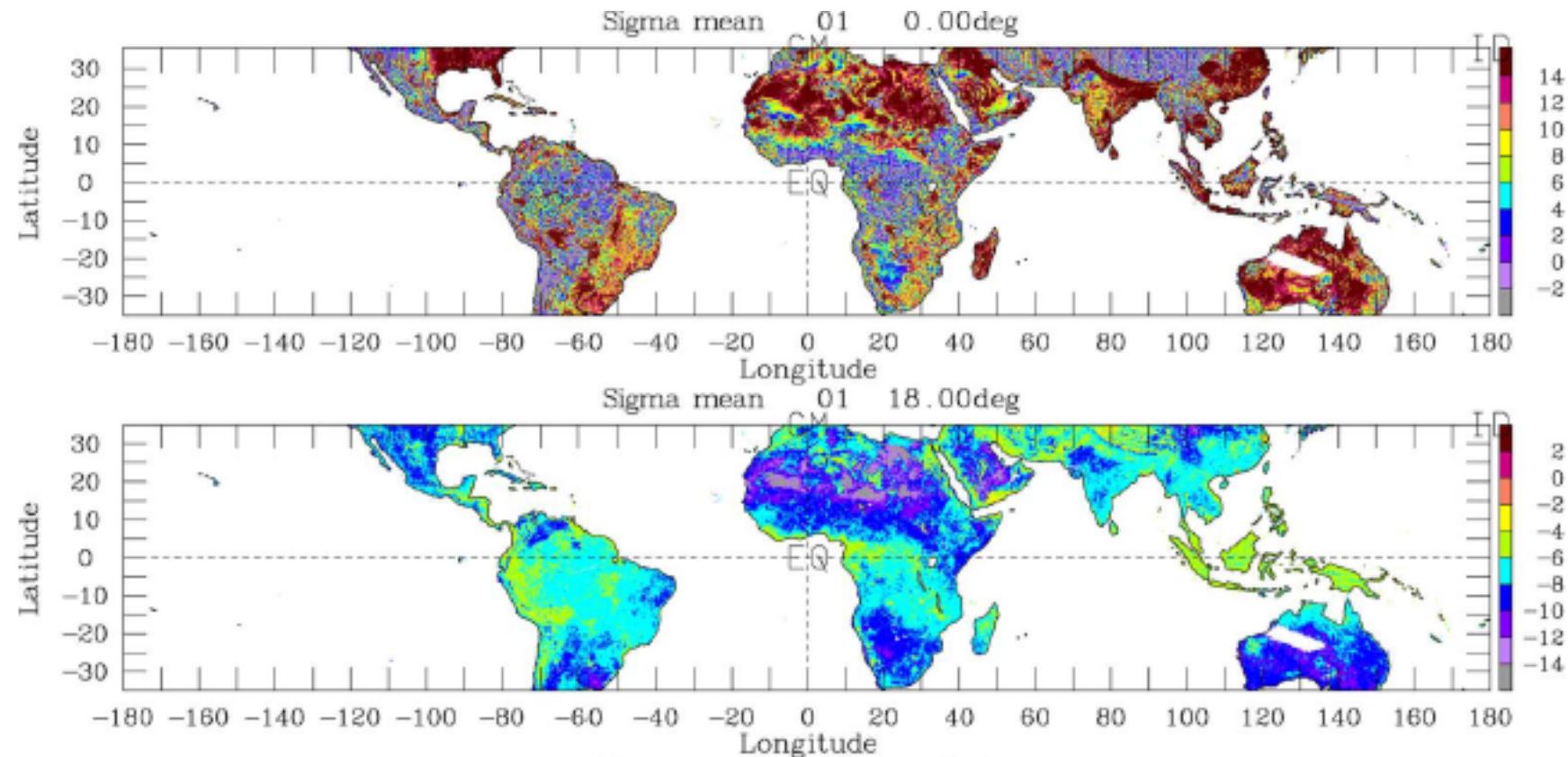
Comparison between  
satellite observations  
and radiative transfer  
model fed with different  
emissivity sources

# Satellite-derived microwave land emissivity estimates



Comparison between satellite observations and radiative transfer model fed with different emissivity sources

## Satellite-derived microwave backscattering



Backscattering estimated from the Precipitation Radar (TRMM) at 13GHz, for two different incidence angles, for use in the precipitation retrieval. From Prigent et al., IEEE, 2015

## **Satellite-derived infrared land surface emissivity**

**In RTTOV for instance, fixed values:**

**0.98 for snow and ice free land surfaces,**

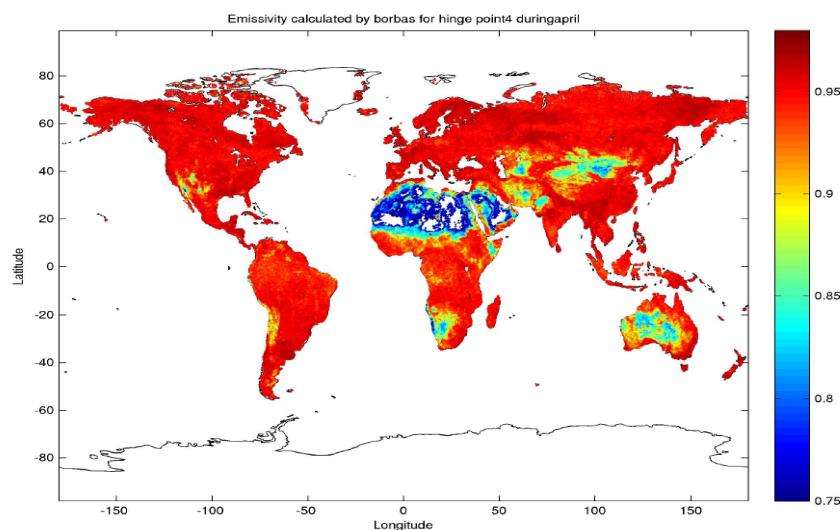
**0.99 for snow and ice.**

**Now derived from the satellite observations directly.**

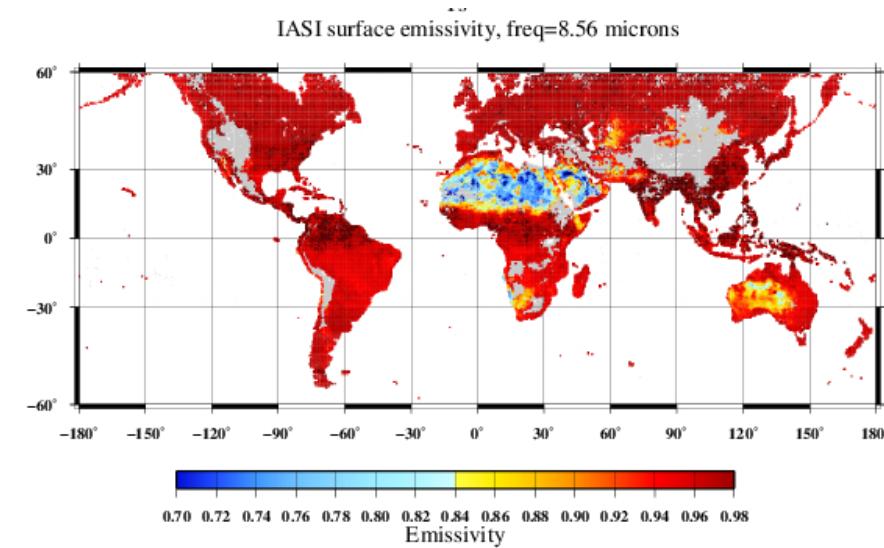
**Additional information from atmospheric contribution and possibly from spectral emissivity data basis such as ASTER database.**

- University Wisconsin: Seemans et al., 2008, derived from MODIS.  
Monthly mean, 0.05° spatial resolution, 10 freq
- NASA: Zhou et al., 2011, derived from IASI.  
Monthly mean, all IASI spectrum
- ARA/LMD: Capelle et al., JAMC, 2012, derived from AIRS  
Monthly mean, 0.5° spatial resolution
- LERMA: Paul et al, JGR, 2012, derived from IASI  
Monthly mean, all IASI spectrum, 0.25° spatial resolution

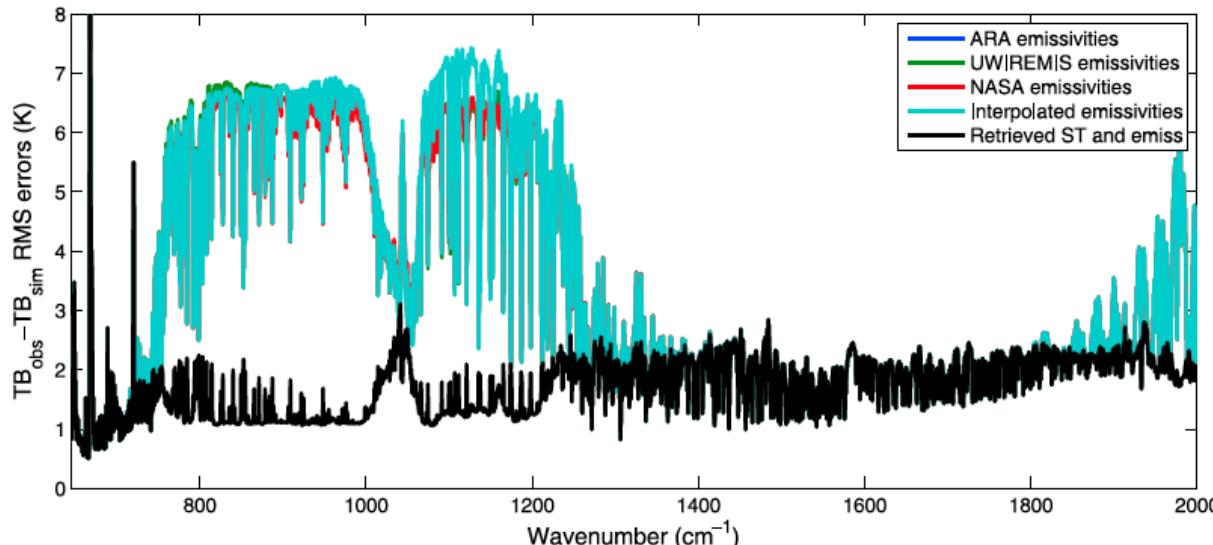
# Satellite-derived infrared land surface emissivity



IR emissivity at  $8.3\mu\text{m}$ , Seeman et al., 2008

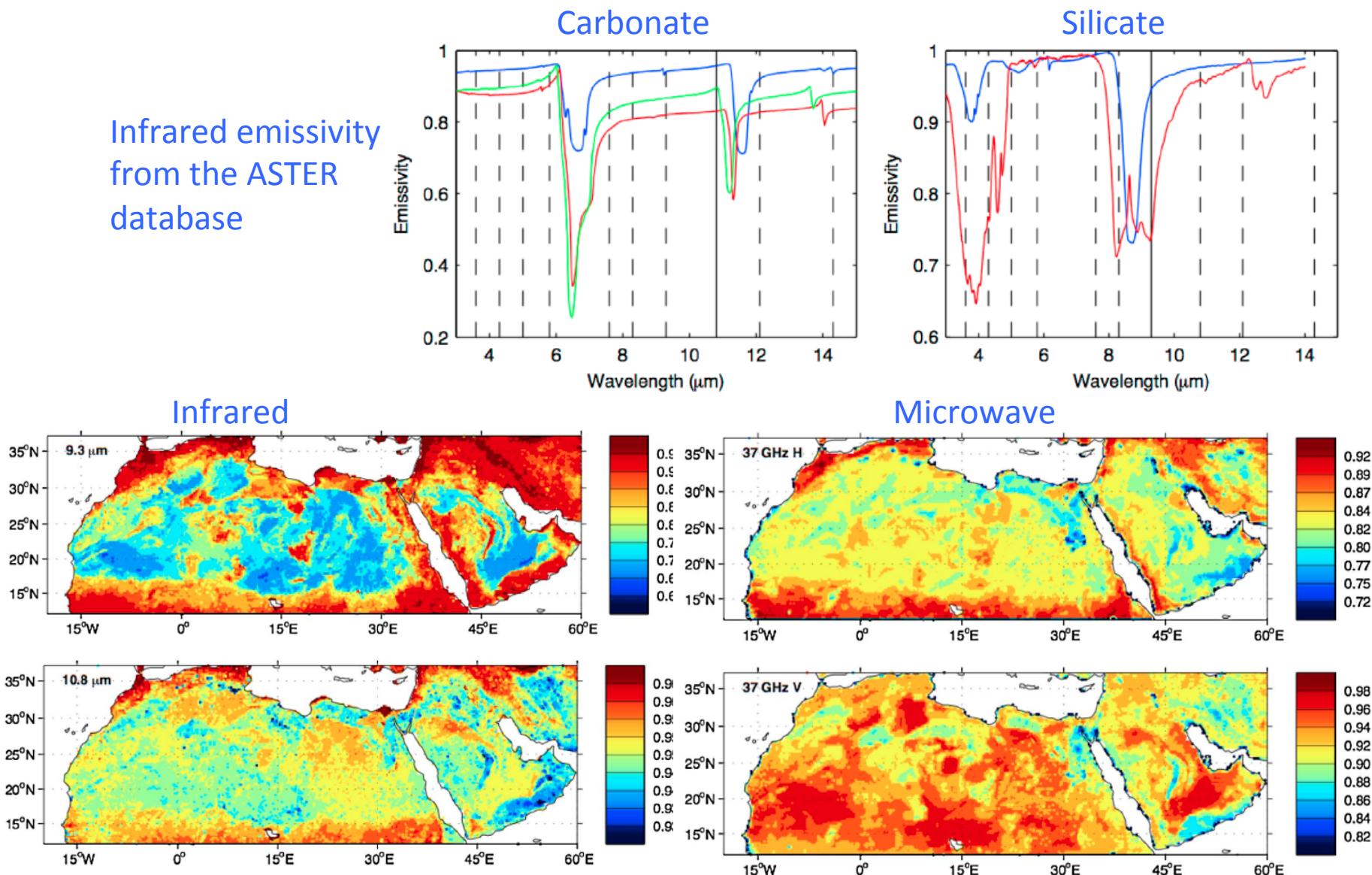


IR emissivity at  $8.56\mu\text{m}$ , July 2012, Capelle et al., 2012



Comparison with the IASI observations, when using different emissivity data bases. Paul et al., JGR, 2012

# Satellite-derived infrared and microwave land surface emissivity



# **Conclusion for the infrared and the microwaves**

**Emissivity model for the ocean rather reliable and applicable globally. Emissivity essentially function of surface wind, temperature, and salinity.**

**For land, snow, and ice, modeling much more difficult: even if a good model exists, the availability of its inputs at continental or global scale is very questionable.**

**Radiative transfer models interesting for sensitivity analysis, or fined-tuned for a specific application or environment.**

**Interest of the satellite-derived land surface emissivity estimates for operational use for application to atmospheric retrieval.**

**Importance of the role of the surface temperature in the surface contribution; for both infrared and microwave.**

**What is next?**